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# Protoplanetary Disks: An Overview

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## General resources:

Astrophysics of Planet Formation, Armitage, P. J., 2010, Cambridge Univ. Press. (Pedagogical introduction to the theory of planet formation)

Protostar and Planets VI website (most updated in all fields of planetary studies): <http://www.mpia-hd.mpg.de/homes/ppvi/>

## Main references:

Armitage's book: Chapters 2-5

## Further reading:

Williams, J. P. & Cieza, L. A., 2011, ARA&A, 49, 67

Turner, N. J. et al. review chapter in PPVI, arXiv:1401.7306

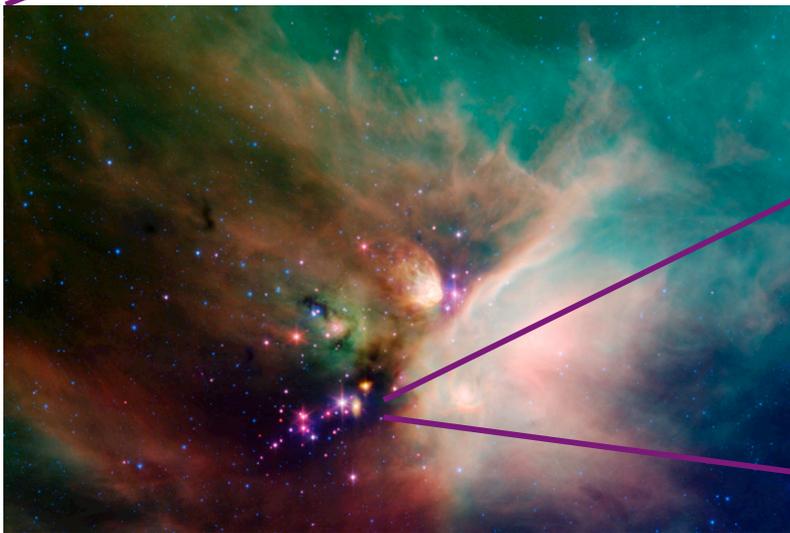
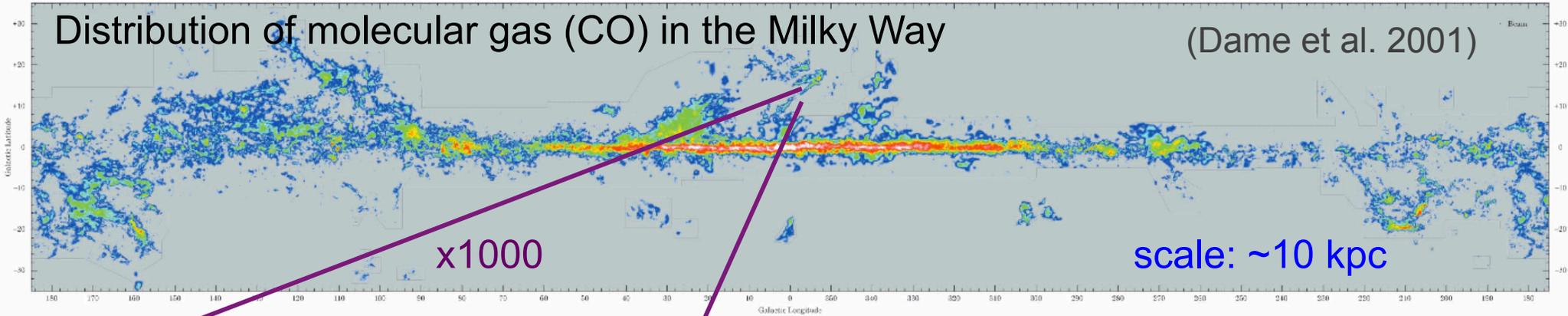
Chiang, E. & Youdin, A., 2010, AREPS, 38, 493

Goldreich, P., Lithwick, Y. & Sari, R., 2004, ARA&A, 42, 549

# Protoplanetary disks in the context

Distribution of molecular gas (CO) in the Milky Way

(Dame et al. 2001)

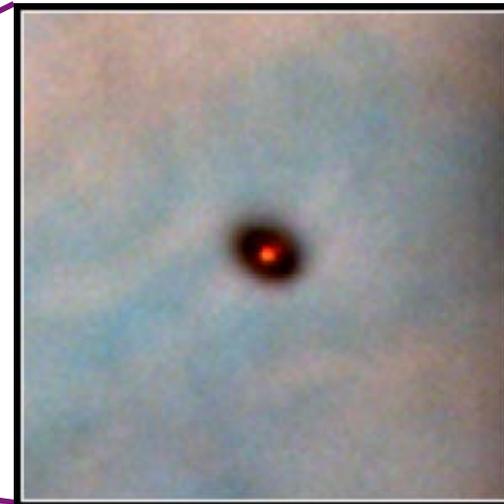


Star forming region ( $\rho$  ophiuchus)

Credit: Spitzer space telescope

~a few x10 pc

x1000

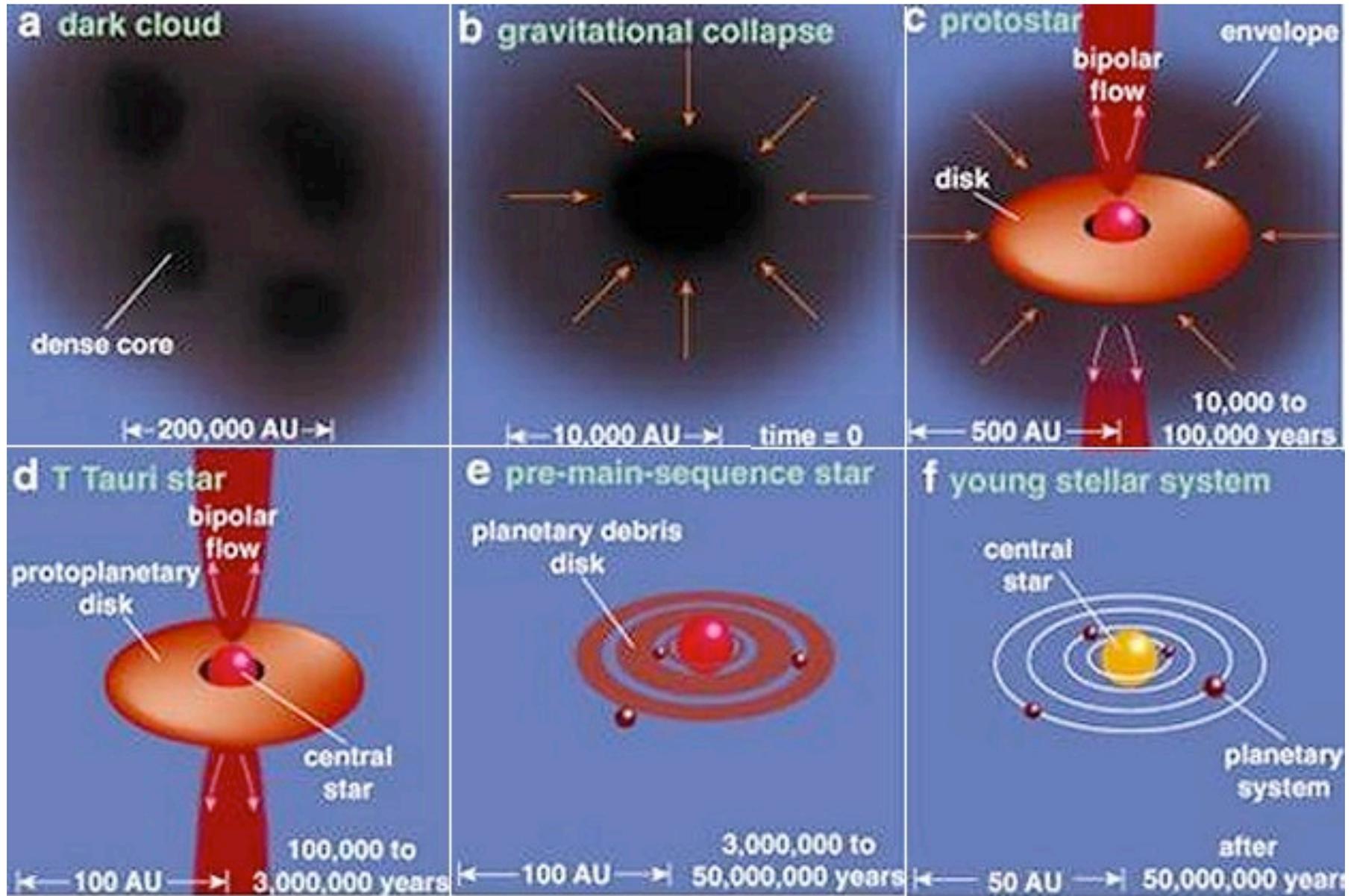


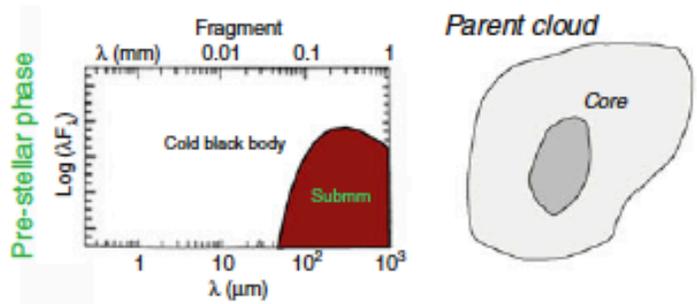
proto-star and its disk

~a few x1000 AU

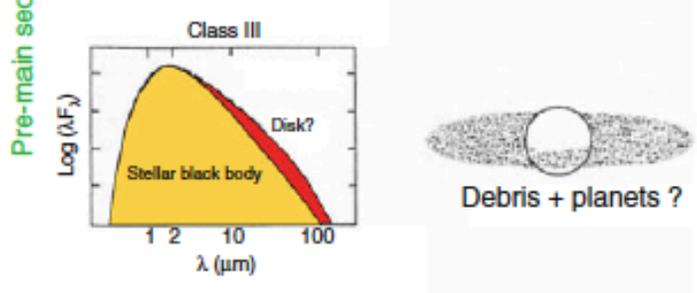
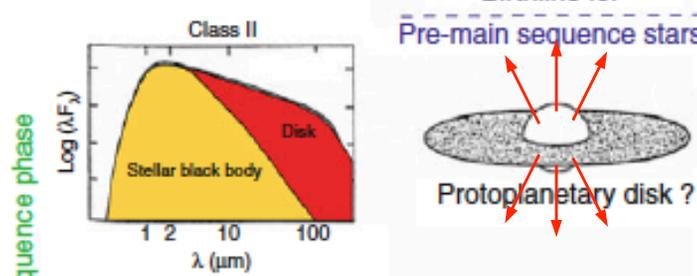
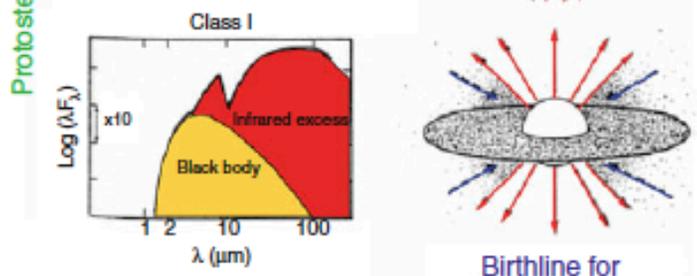
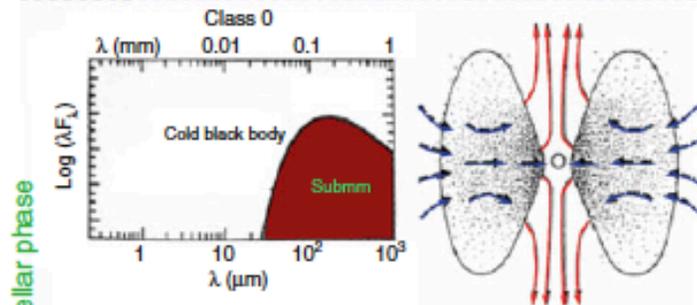
(1 pc = 3.26 light years  $\sim 2 \times 10^5$  AU)

# (low-mass) Star and planet formation





Formation of the central protostellar object



Pre-stellar core

$M_{\text{star}} = 0$

$\sim 10^6$  yr?

$t \sim 0$  yr

Accreting protostar

$M_{\text{star}} \ll M_{\text{env}}$

$< 3 \times 10^4$  yr

Accreting protostar

$M_{\text{star}} > M_{\text{env}}$

$\sim 2 \times 10^5$  yr

Classical T Tauri Star

Disk mass  $\sim 10^{-3} - 10^{-1} M_{\odot}$

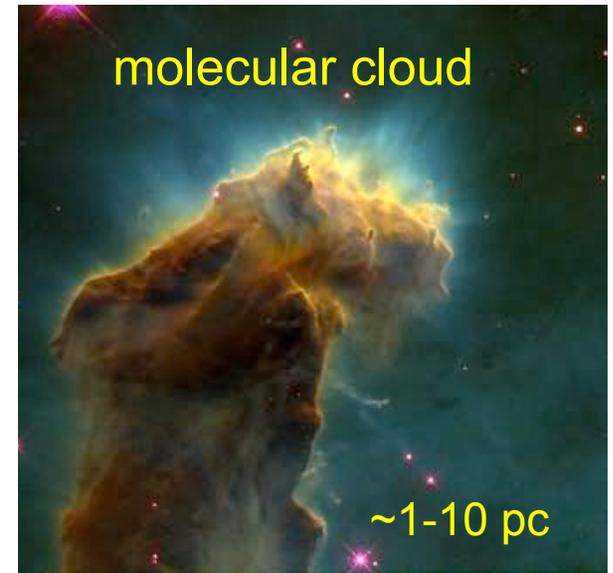
$\sim 3 \times 10^6$  yr

Weak-line T Tauri Star

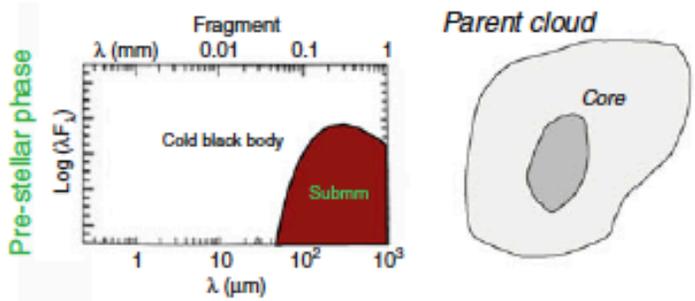
Disk mostly dissipated

$\sim 10^7$  yr?

time



$> 10^3 M_{\odot}$

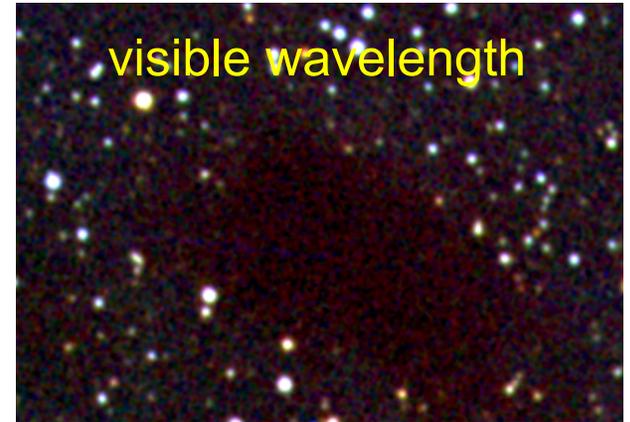


Pre-stellar core

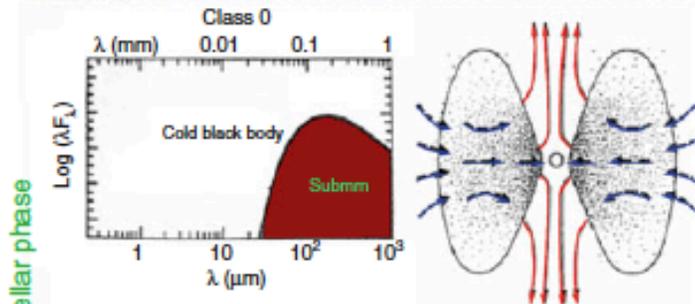
$M_{\text{star}} = 0$

$\sim 10^6$  yr?

star-forming core/class 0 source



Formation of the central protostellar object

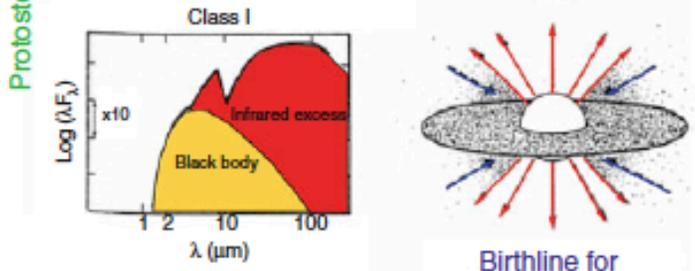
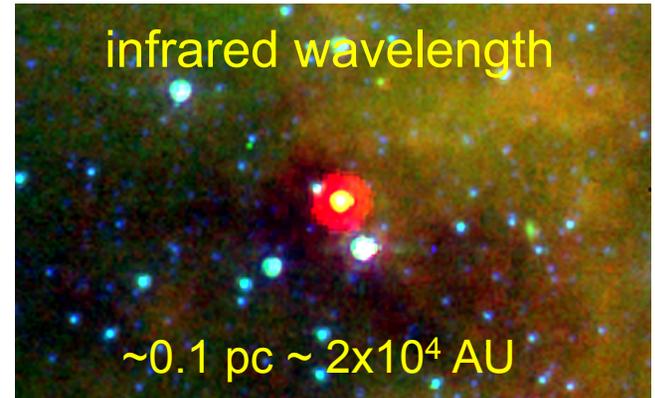


$t \sim 0$  yr

Accreting protostar

$M_{\text{star}} \ll M_{\text{env}}$

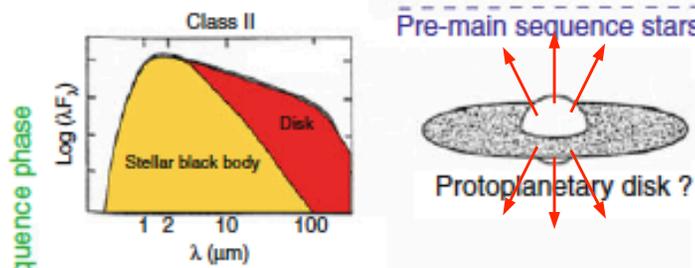
$< 3 \times 10^4$  yr



Accreting protostar

$M_{\text{star}} > M_{\text{env}}$

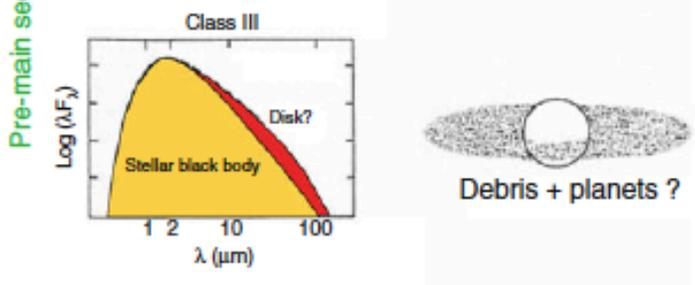
$\sim 2 \times 10^5$  yr



Classical T Tauri Star

Disk mass  $\sim 10^{-3} - 10^{-1} M_{\odot}$

$\sim 3 \times 10^6$  yr



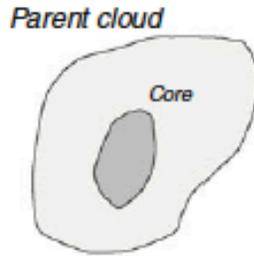
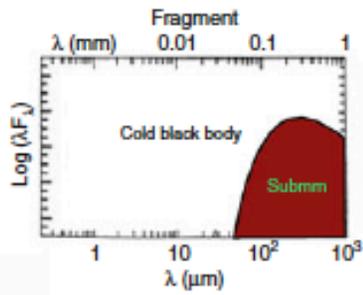
Weak-line T Tauri Star

Disk mostly dissipated

$\sim 10^7$  yr?

time

Pre-stellar phase



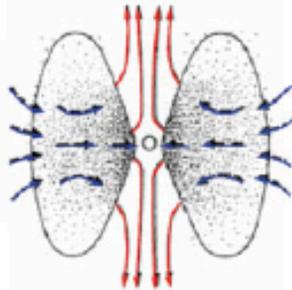
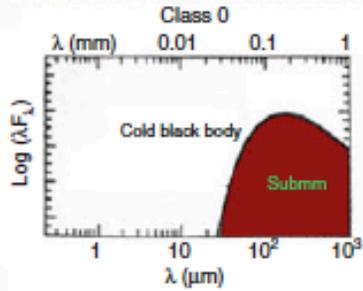
### Pre-stellar core

$M_{\text{star}} = 0$

$\sim 10^6$  yr?

### Formation of the central protostellar object

Protostellar phase

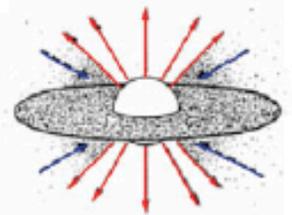
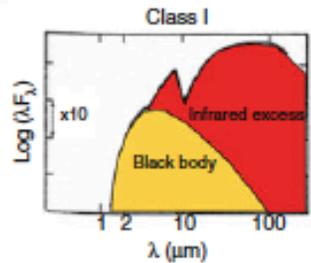


$t \sim 0$  yr

### Accreting protostar

$M_{\text{star}} \ll M_{\text{env}}$

$< 3 \times 10^4$  yr



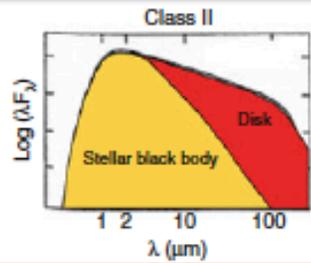
### Accreting protostar

$M_{\text{star}} > M_{\text{env}}$

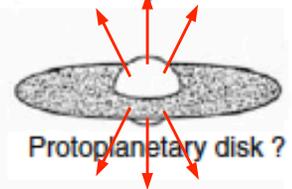
$\sim 2 \times 10^5$  yr

Birthline for

Pre-main sequence phase



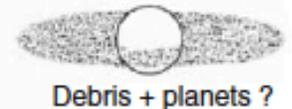
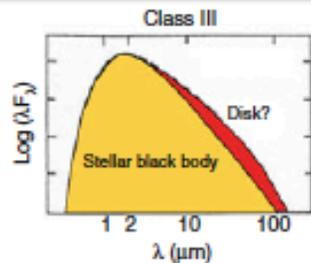
Pre-main sequence stars



### Classical T Tauri Star

Disk mass  $\sim 10^{-3} - 10^{-1} M_{\odot}$

$\sim 3 \times 10^6$  yr

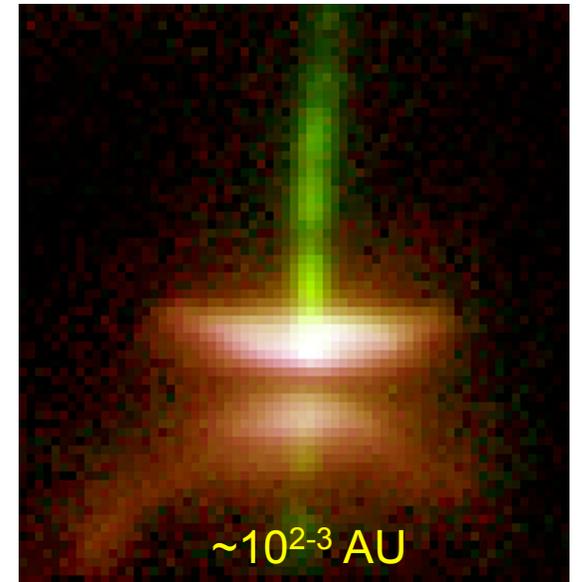


### Weak-line T Tauri Star

Disk mostly dissipated

$\sim 10^7$  yr?

time



# Protoplanetary disks

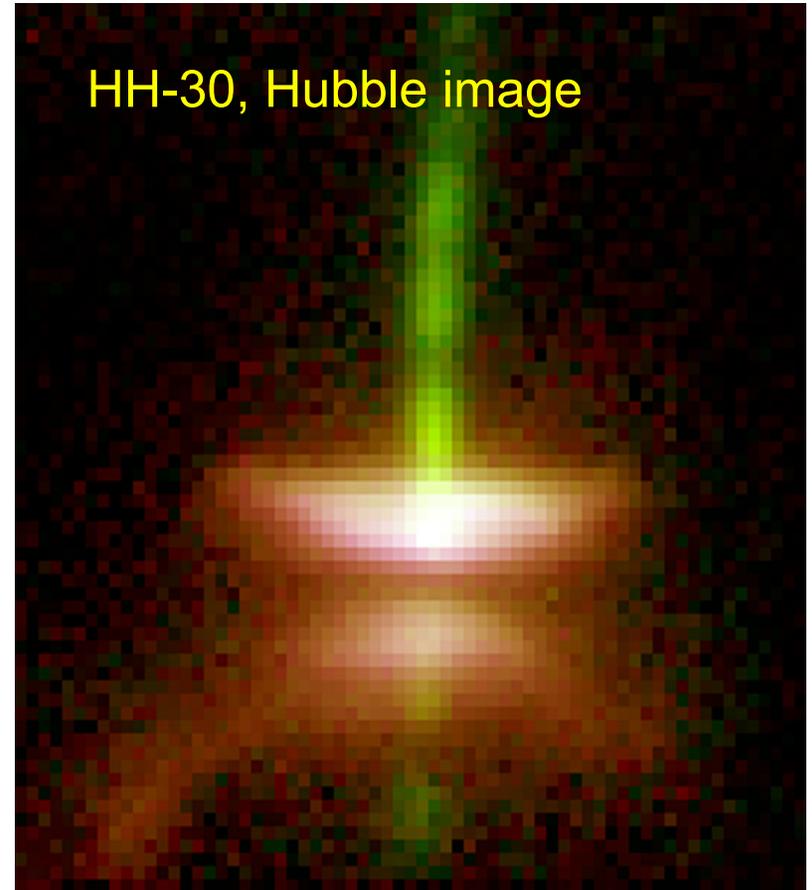


Protoplanetary Disks  
Orion Nebula

HST · WFPC2

PRC95-45b · ST Sci OPO · November 20, 1995  
M. J. McCaughrean (MPIA), C. R. O'Dell (Rice University), NASA

~1000 AU



~200 AU

# Disk formation & size: general considerations

Size of a pre-stellar core:  $R_{\text{core}} \sim 0.05 \text{ pc}$

Rotational to gravitational energy:  $\beta_{\text{rot}} \equiv \frac{1}{3} \frac{\omega^2 R^2}{GM/R}$   
(assuming uniform density and rotation)  
 $\sim 0.02$  (with large scatter).

(Goodman et al. 1993)

By angular momentum conservation, infalling material settles to a disk with size  $R_{\text{disk}}$ :

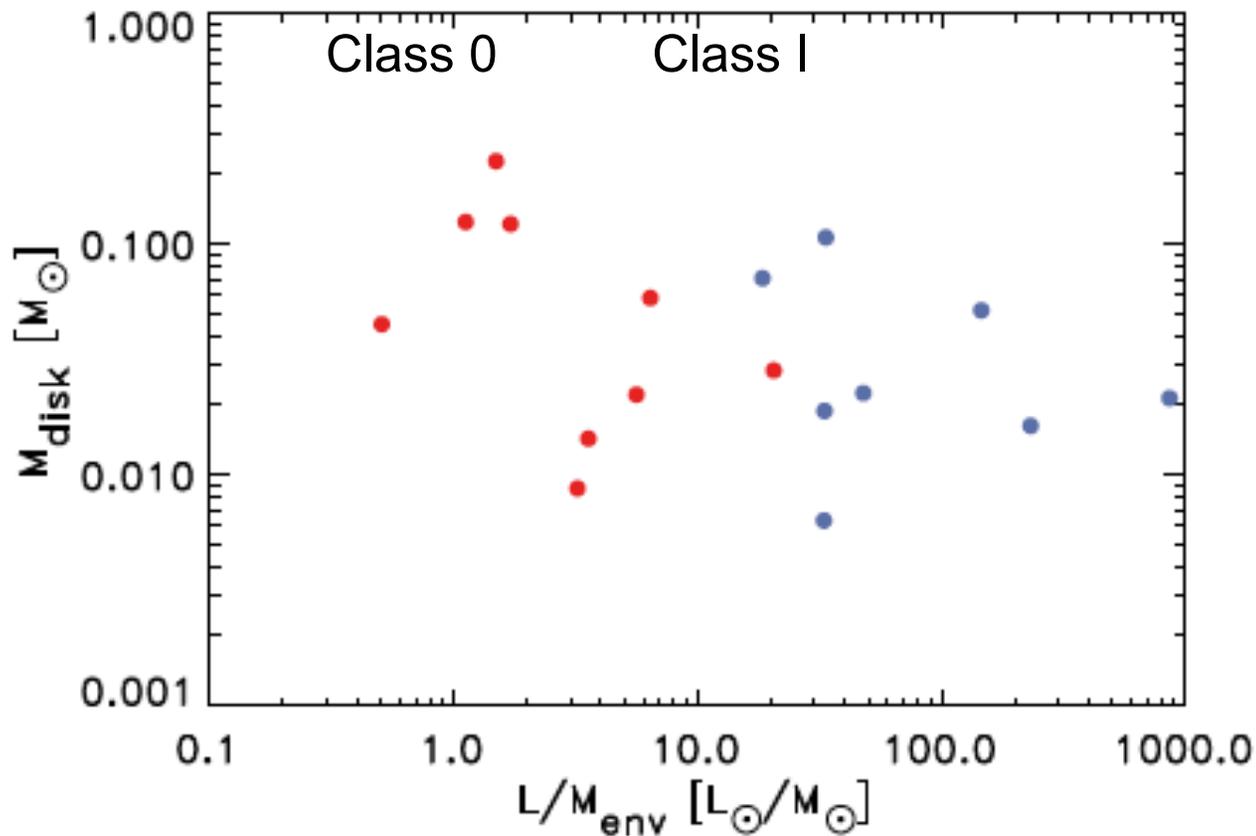
$$J \sim I\omega \sim \sqrt{GM R_{\text{disk}}}$$

$$\rightarrow R_{\text{disk}} \sim \frac{1}{2} \beta_{\text{rot}} R_{\text{core}} \sim 100 \text{ AU}$$

# Disk formation: observations

Difficult because of high extinction.

Need long wavelength (mm) + high resolution.



(Jørgensen et al. 2009)

Disks are formed early  
in the Class 0 phase.

No significant mass  
evolution through  
Class I phase.

# Disk formation: outstanding issues

- **Luminosity problem:** (Kenyon et al., 1990)

Typical young stellar objects have accretion luminosities that are too low (by a factor of  $\sim 10$ ) to build up their mass.

**Solution: accretion is episodic (how?)** (e.g., Vorobyov & Basu, 2006, Zhu et al. 2009)

- **Magnetic flux problem:**

Conservation of magnetic flux during core collapse would lead to way too strong stellar magnetic fields.

**Solution: magnetic flux must be removed during collapse (how?)**

- **Magnetic braking catastrophe:** (Mellon & Li, 2008)

Simulations can not form rotationally supported disk because magnetic braking is too efficient.

**Solution: misaligned B field and/or turbulent reconnection?**

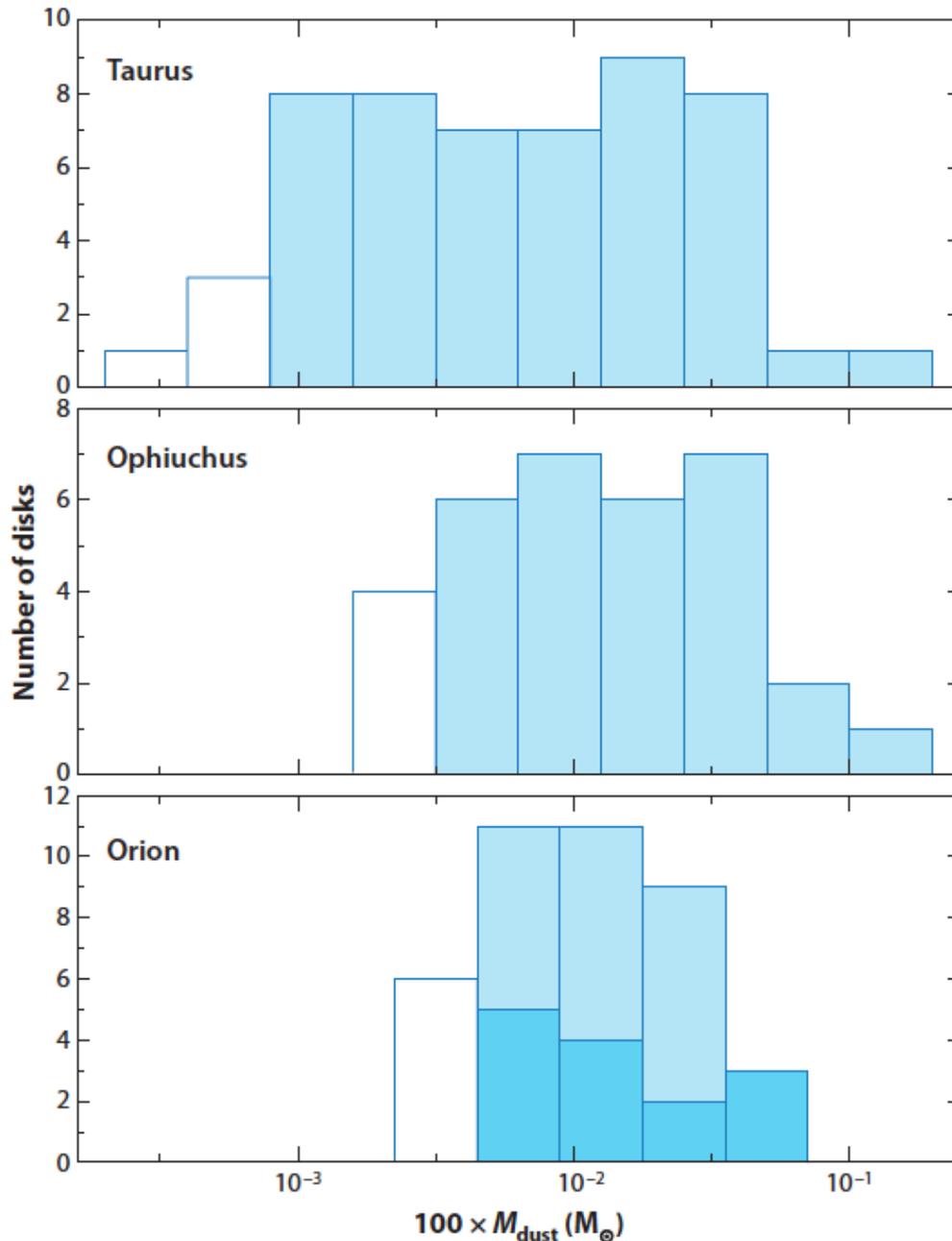
(Hennebelle & Ciardi, 2009; Joos et al., 2012) (Santos-Lima et al., 2012, Seifried et al. 2012)

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# Disk properties (for class II sources)

- Disk mass
- Disk size and structure (radial and vertical)
- Spectra energy distribution
- Gas content and chemistry
- Disk accretion
- YSO outflow
- Disk lifetime
- Disk dispersal and photoevaporation
- Transition disks

# Disk mass



Outer disk is optically thin at mm:

$$\kappa_{\nu} = 0.1 \left( \frac{\nu}{10^{12} \text{ Hz}} \right)^{\beta} \text{ cm}^2 \text{ g}^{-1}.$$

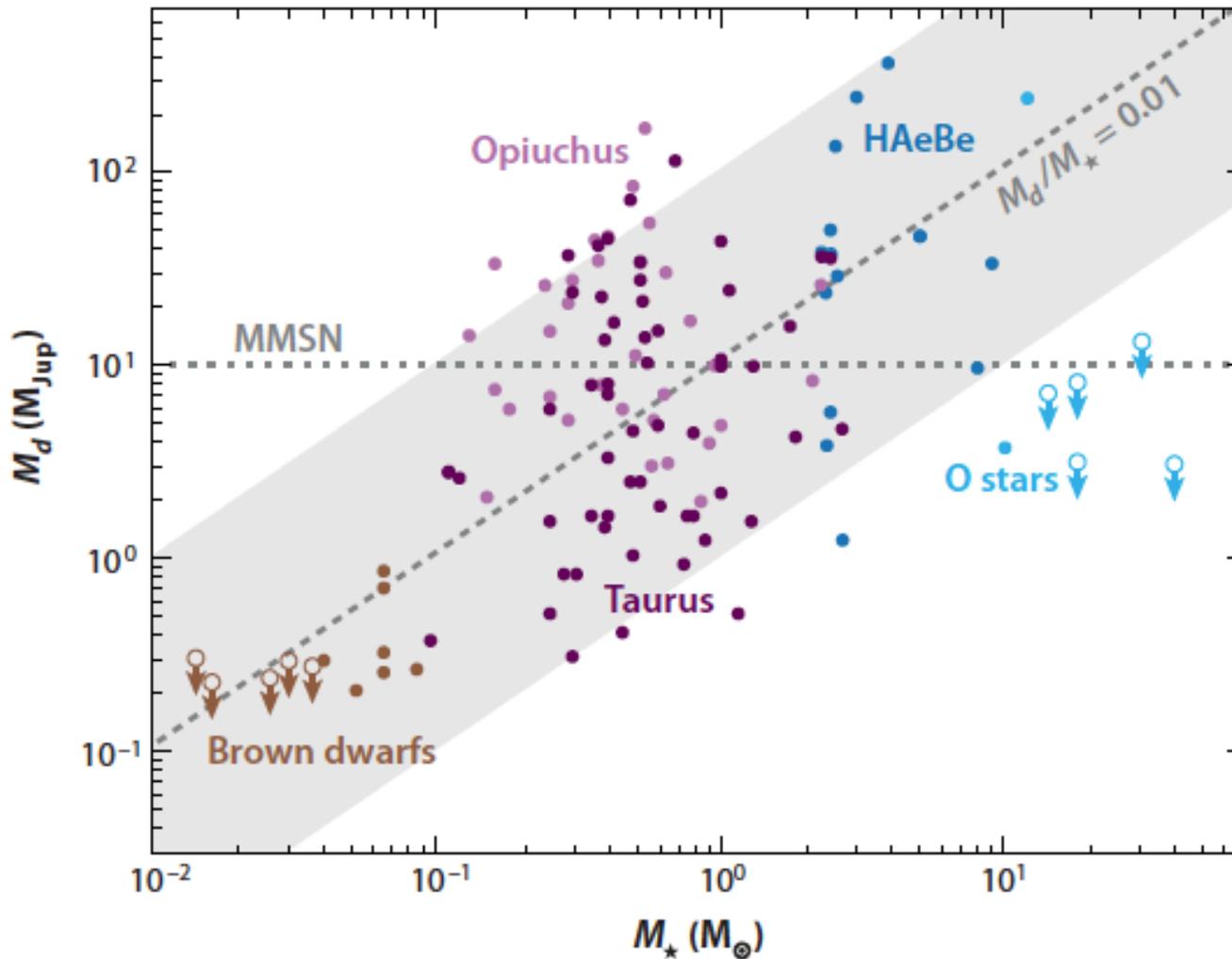
(assuming dust to gas mass ratio 0.01)

$$M(\text{gas} + \text{dust}) = \frac{F_{\nu} d^2}{\kappa_{\nu} B_{\nu}(T)},$$

Disk mass  $\sim 0.01 M_{\odot}$ , with large scatter.

(Williams & Cieza, 2011, ARA&A)

# Dependence on stellar mass



Over a wide mass range, approximately have  $M_{\text{disk}} \sim 0.01 M_*$  with large scatter.

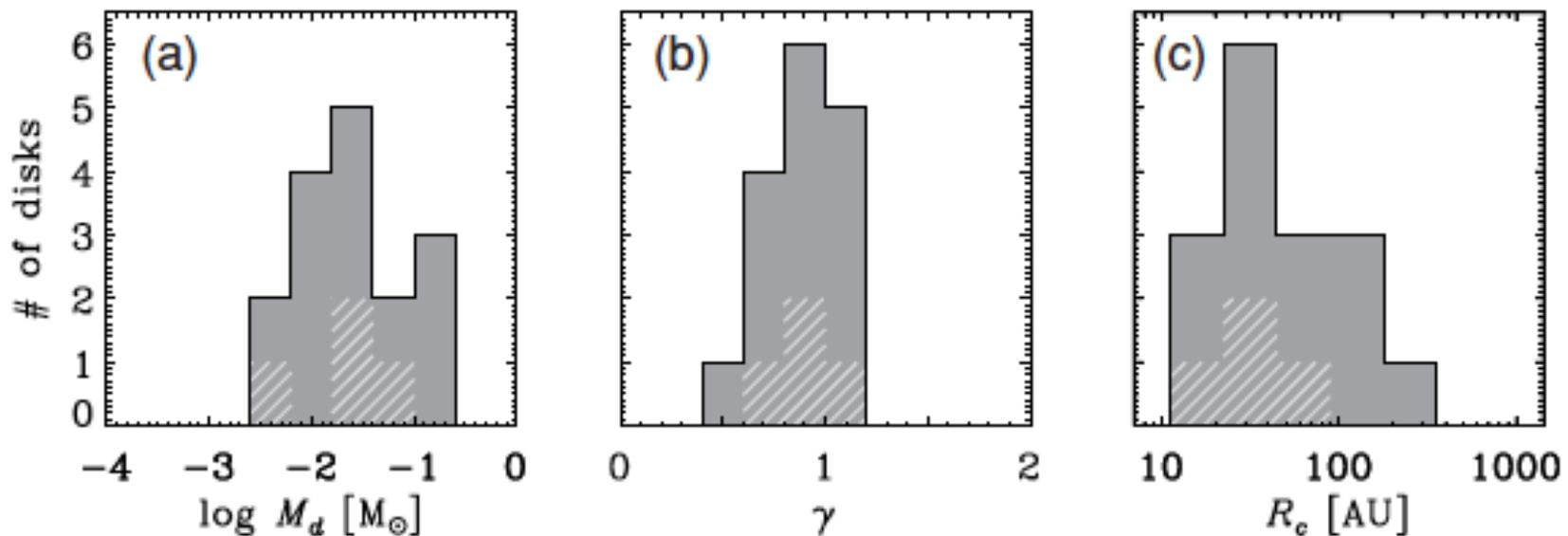
(Williams & Cieza, 2011, ARA&A)

# Disk size and structure

Fit mm interferometric data with an exponentially tapered power law:

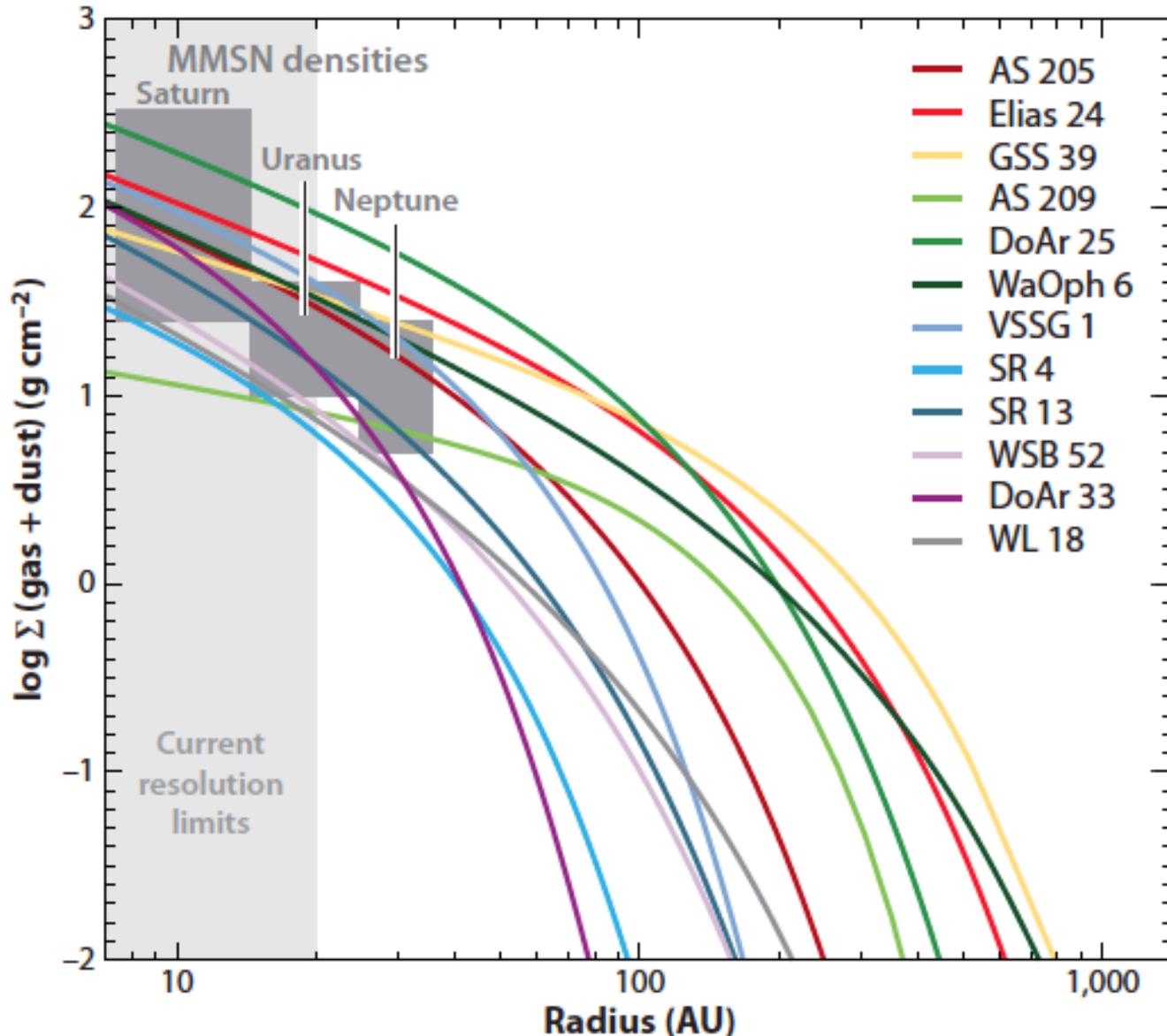
$$\Sigma = (2 - \gamma) \frac{M_d}{2\pi R_c^2} \left( \frac{R}{R_c} \right)^{-\gamma} \exp \left[ - \left( \frac{R}{R_c} \right)^{2-\gamma} \right],$$

In Ophiuchus star-forming region:



(Andrews et al. 2010)

# Disk size and structure

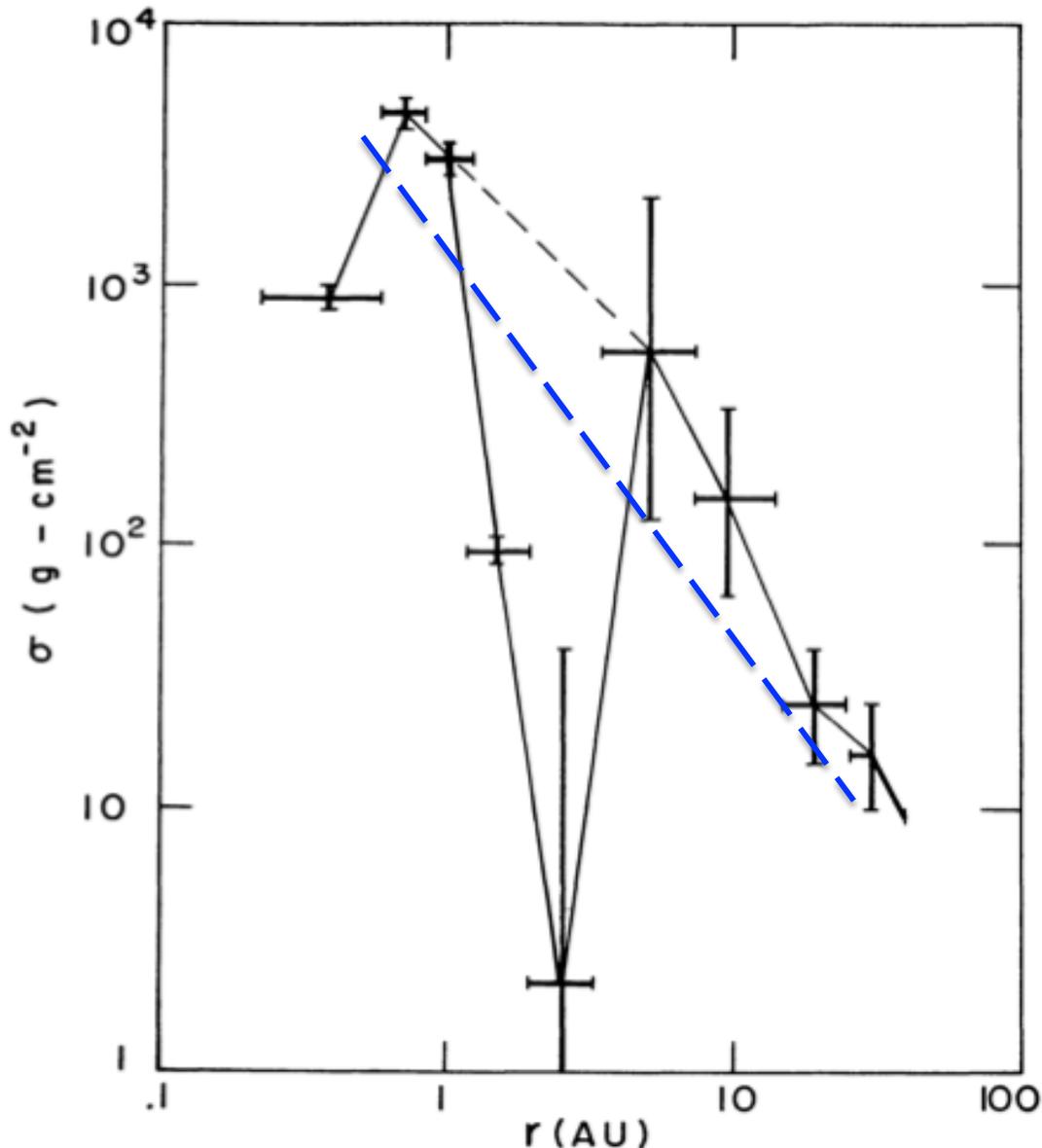


Outer disk roughly follows  $R^{-1}$  profile.

Inner disk (<20 AU) is unresolved (before ALMA) and can be optically thick.

(Andrews et al. 2009,2010)

# Minimum mass solar nebular (MMSN)



Assume solar-system planets are formed *in-situ*, reconstruct surface density profile by smoothly spreading required gas mass across the disk:

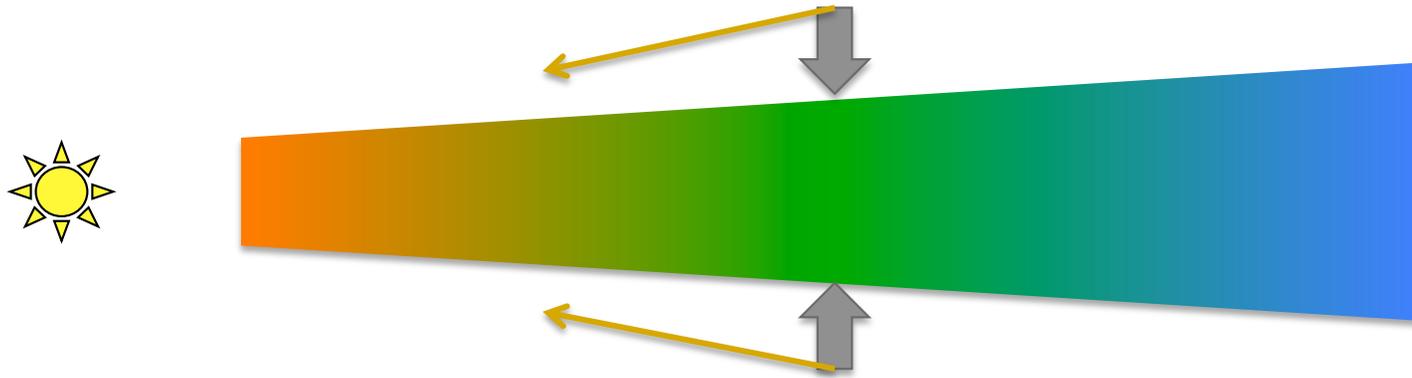
$$\Sigma = 1700 \text{ g cm}^{-2} \cdot r_{\text{AU}}^{-3/2},$$

Total mass  $\sim 0.01 M_{\odot}$

Lower mass limit for the pre-solar nebular.

(Weidenschilling 1977; Hayashi 1981)

# Vertical structure



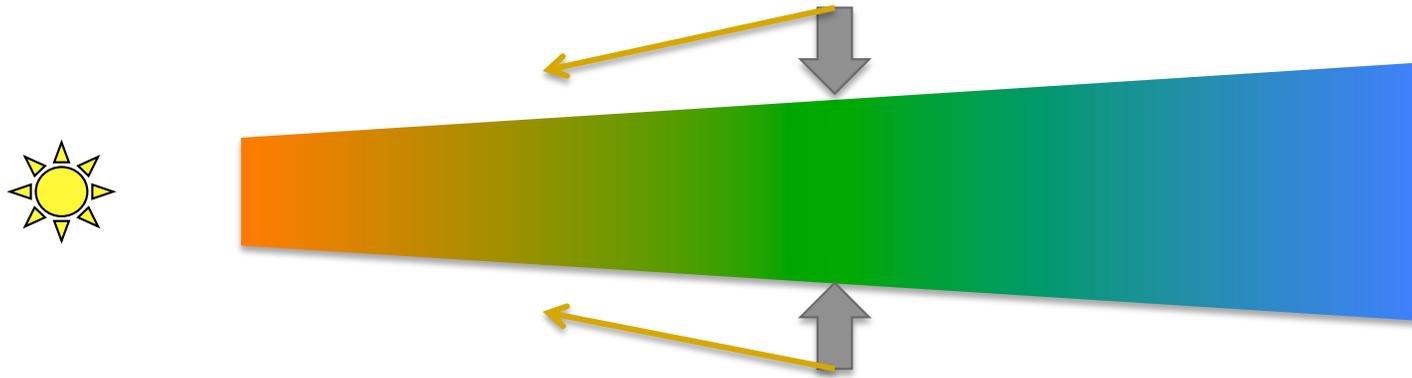
Vertical gravity:

$$g_z = -\frac{GM_*}{(R+z)^2} \frac{z}{(R^2+z^2)^{1/2}} \approx -\Omega^2 z \quad (z \ll R)$$

Pressure support (assuming isothermal EoS  $P = \rho c_s^2$ ):

$$c_s^2 \frac{d\rho}{dz} = \rho g_z$$

# Vertical structure



Vertical structure:  $\rho = \rho_0 e^{-z^2/2H^2}$

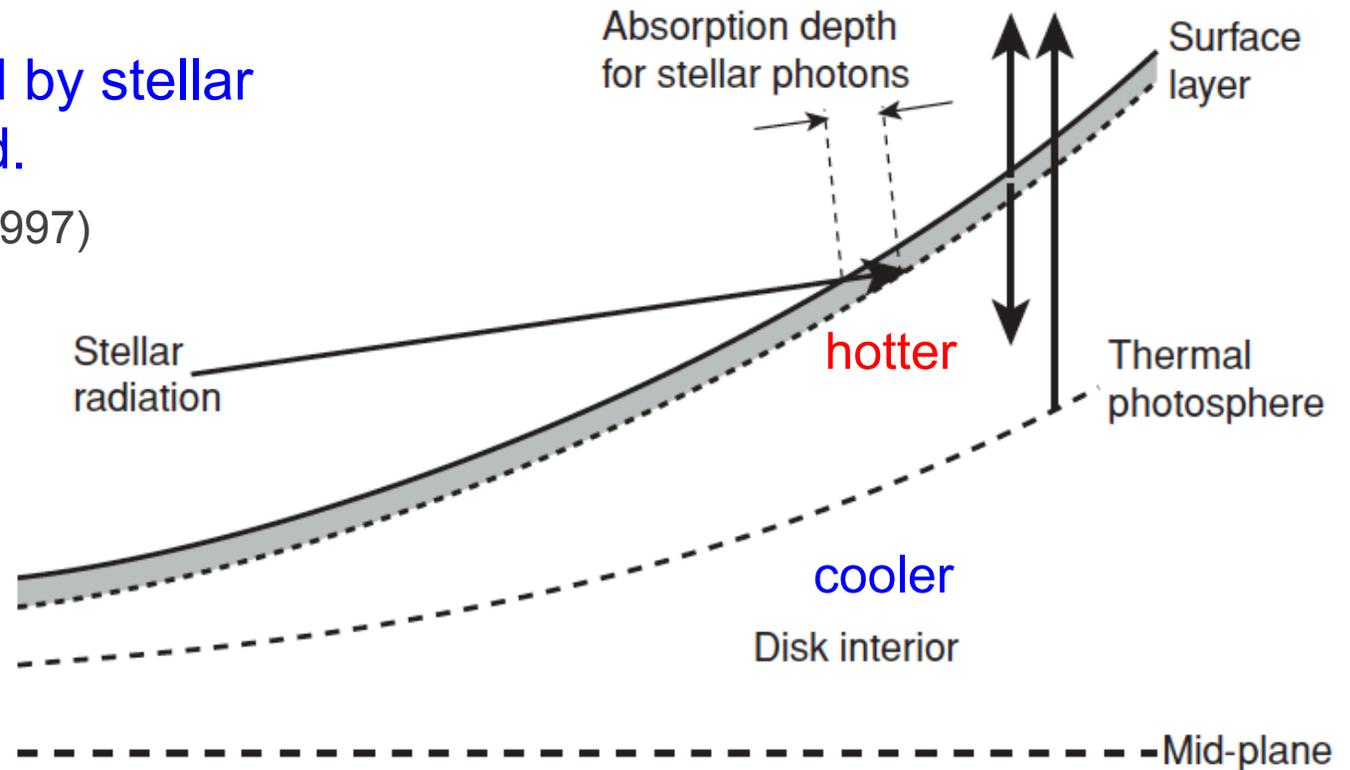
Disk scale height ( $H$ ):  $H = c_s/\Omega$

Isothermal sound speed ( $c_s$ ):  $c_s = \frac{kT}{\mu m_p}$   $\mu \sim 2.33$   
(for molecular gas)

# Thermal structure

Disk is primarily heated by stellar irradiation, and is flared.

(Chiang & Goldreich, 1997)



To first order, approximate the disk with isothermal emitting blackbody:

$$\alpha \frac{L_*}{4\pi R^2} \approx \sigma T_{\text{disk}}^4 \quad \longrightarrow \quad T_{\text{disk}} \sim R^{-1/2}$$

# Minimum-mass solar nebular: update

$$\Sigma = 1700 \text{ g cm}^{-2} \cdot r_{\text{AU}}^{-3/2}, \quad T = 280 \text{ K} \cdot r_{\text{AU}}^{-1/2}$$

$$v_K = 30 \text{ km s}^{-1} \cdot r_{\text{AU}}^{-1/2}, \quad c_s = 1.0 \text{ km s}^{-1} \cdot r_{\text{AU}}^{-1/4}$$

Vertically isothermal:

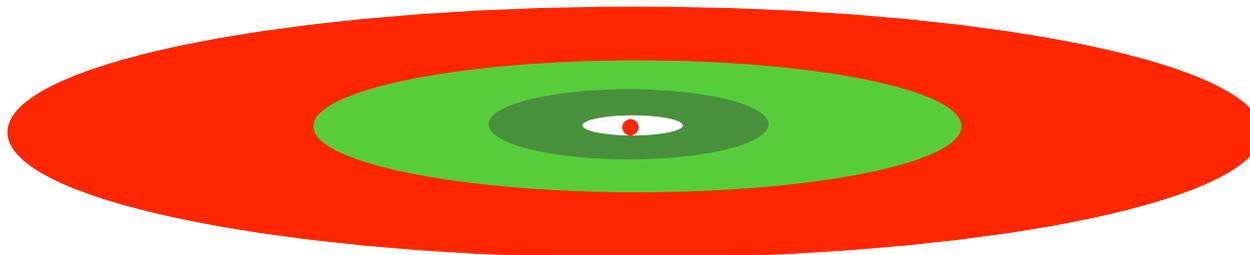
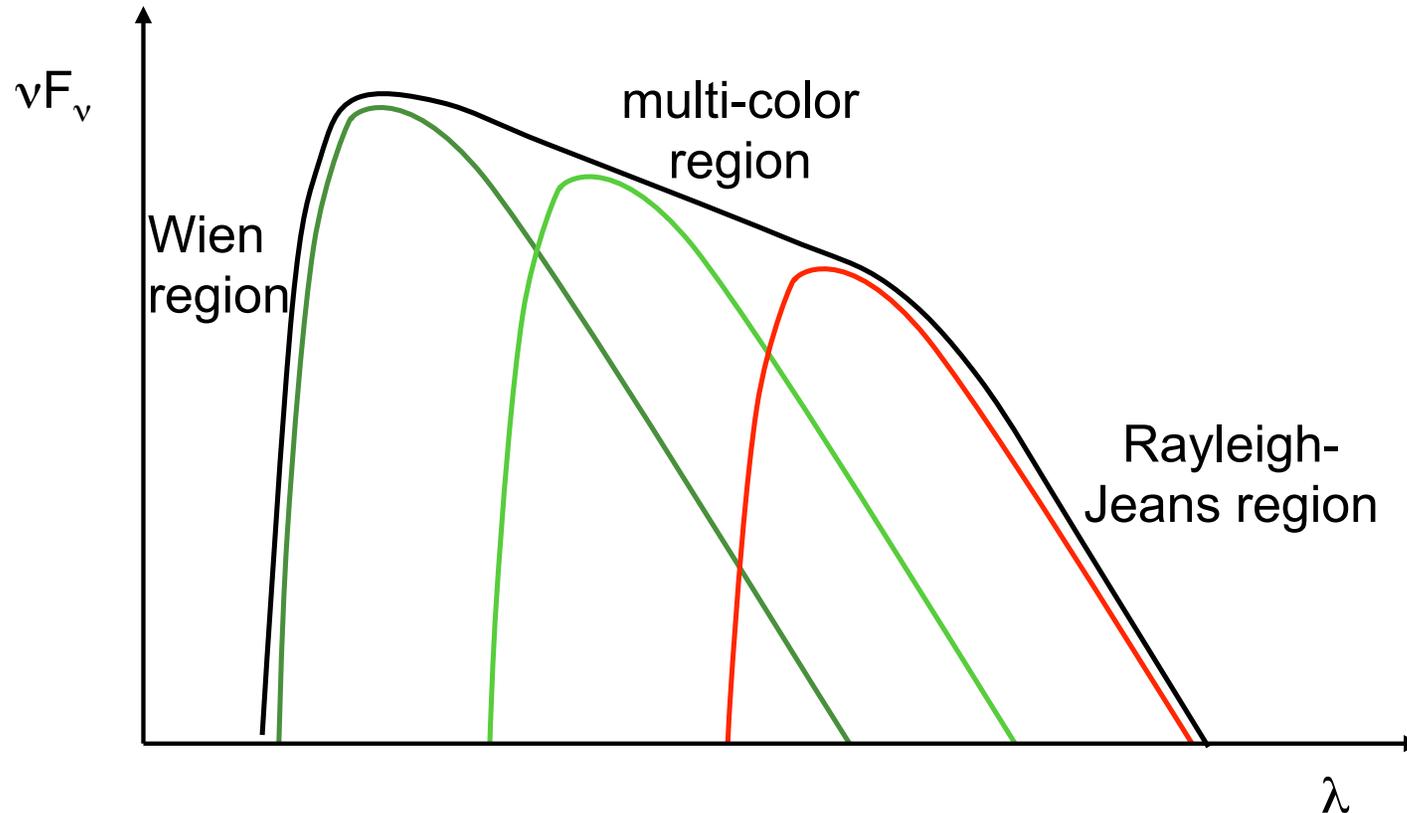
$$H = c_s/\Omega, \quad H/r = 0.03 r_{\text{AU}}^{1/4}$$

Disk is flared

$$\rho(r, z) = \rho_0(r) \exp(-z^2/2H^2)$$

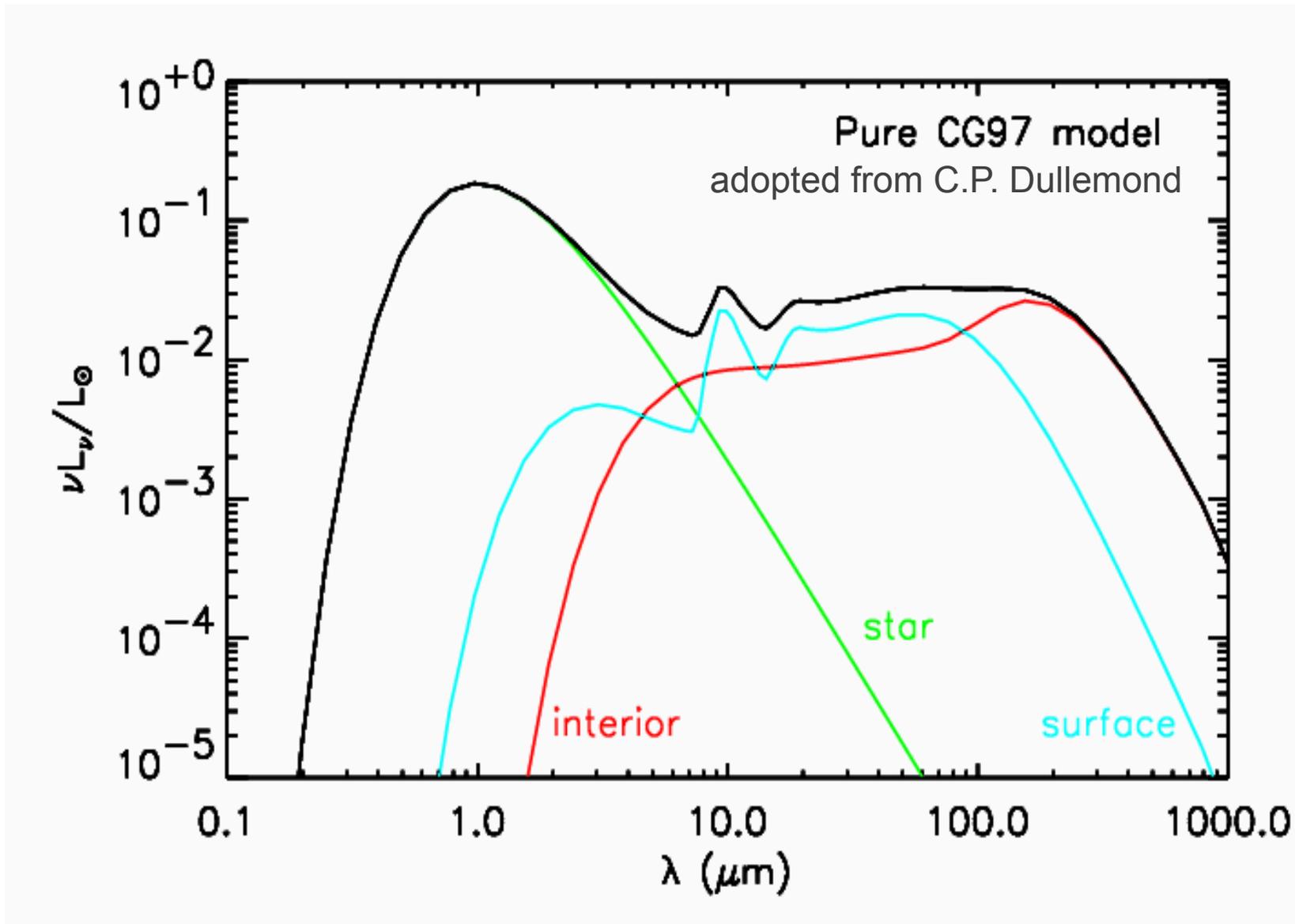
$$\rho_0(r) = 1.4 \times 10^{-9} \text{ g cm}^{-3} \cdot r_{\text{AU}}^{-11/4}$$

# Multi-color blackbody disk spectra



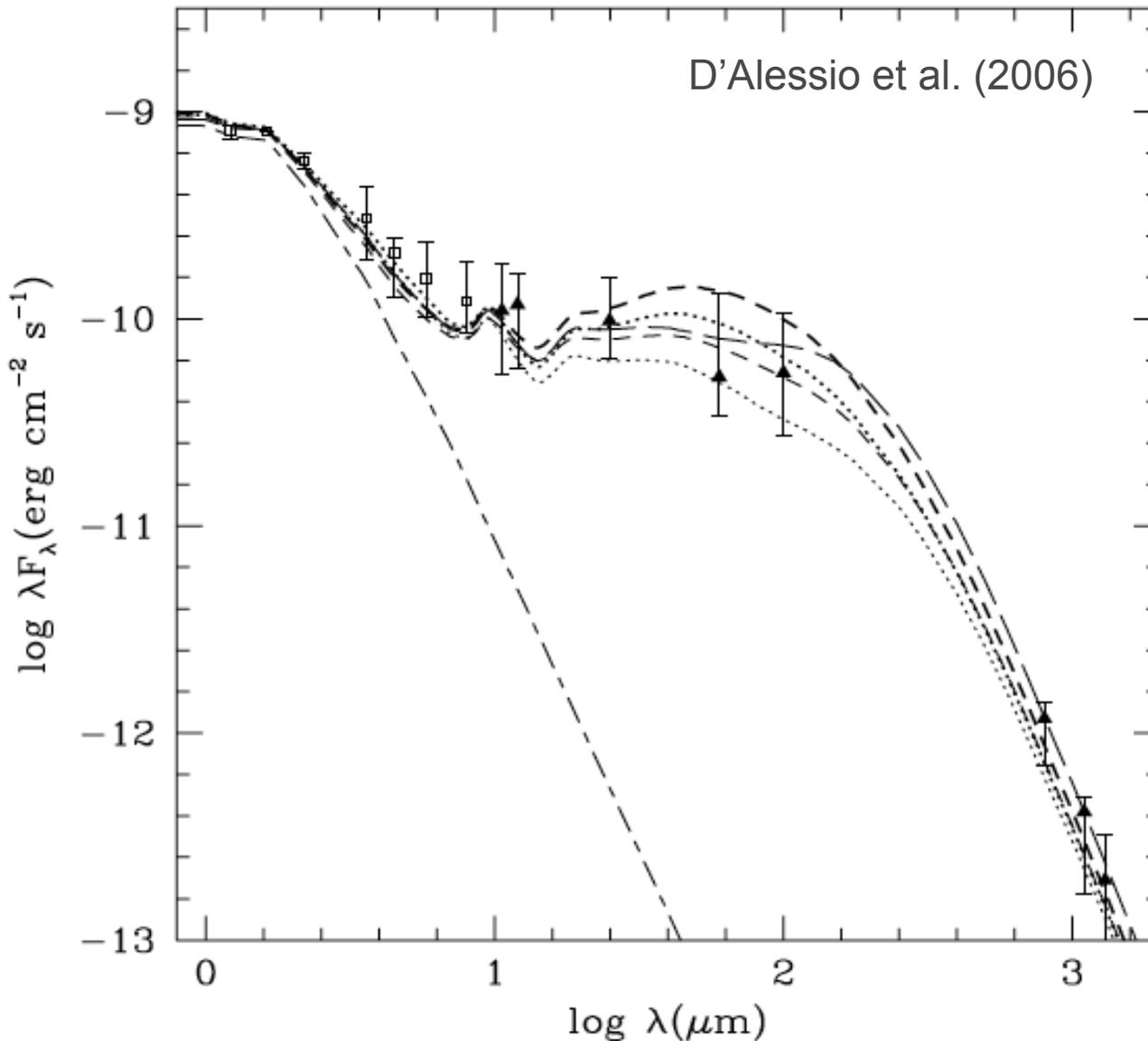
adopted from C.P. Dullemond

# Spectral energy distribution (SED)



(Chiang & Goldreich, 1997)

# The business of SED modeling



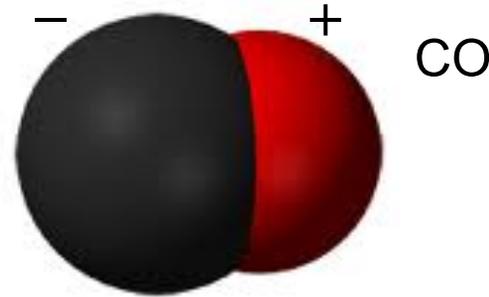
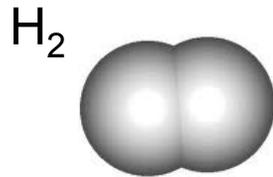
To constrain:

- Dust composition, size, abundance and distribution
- Disk size, surface density and temperature
- Viewing geometry

Parameters are highly degenerate but show evidence of grain growth and settling.

# Gas in protoplanetary disks

- Gas contains ~99% of disk mass, but the primary gas species,  $H_2$ , does not have a permanent electric dipole moment -> radiate very weakly.



- Other major molecules, particularly  $CO$ , do radiate efficiently, although most cases they are optically thick (can not be used to measure disk mass).

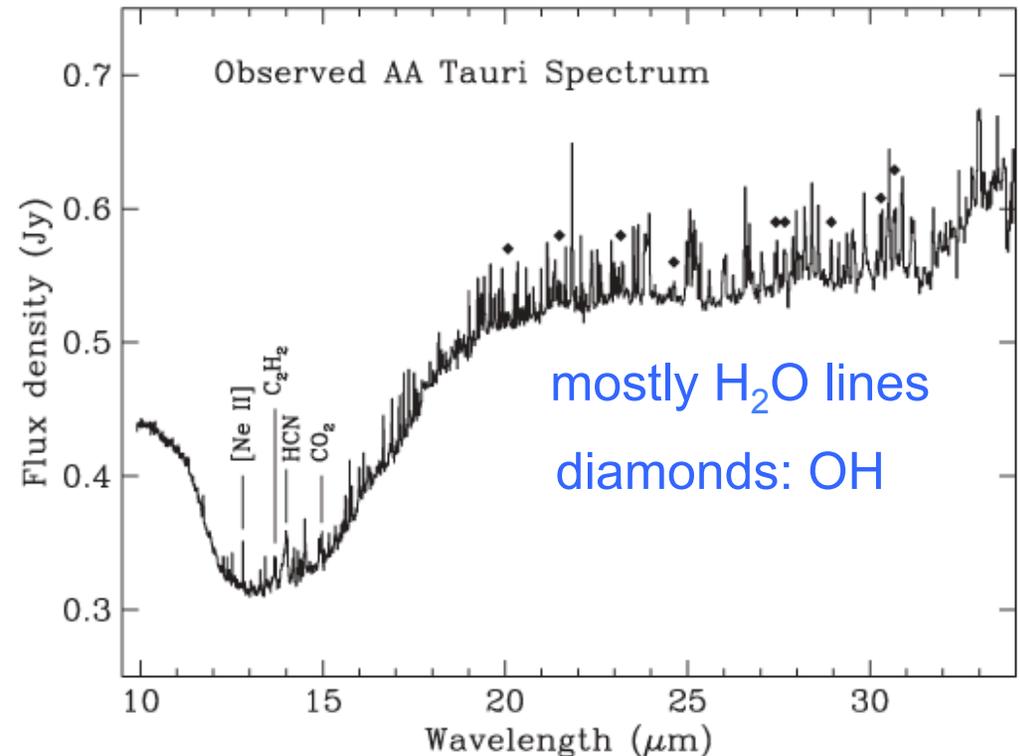
For a uniform temperature slab in thermal equilibrium:

$$I_\nu = I_\nu(0)e^{-\tau_\nu} + B_\nu(T_{\text{exc}})(1 - e^{-\tau_\nu})$$

# IR emission lines

- The surface layer of the inner disk is heated strongly by stellar X-ray, UV radiation, allowing molecular (ro-vibrational) emission lines to stand out (in the near-mid IR).

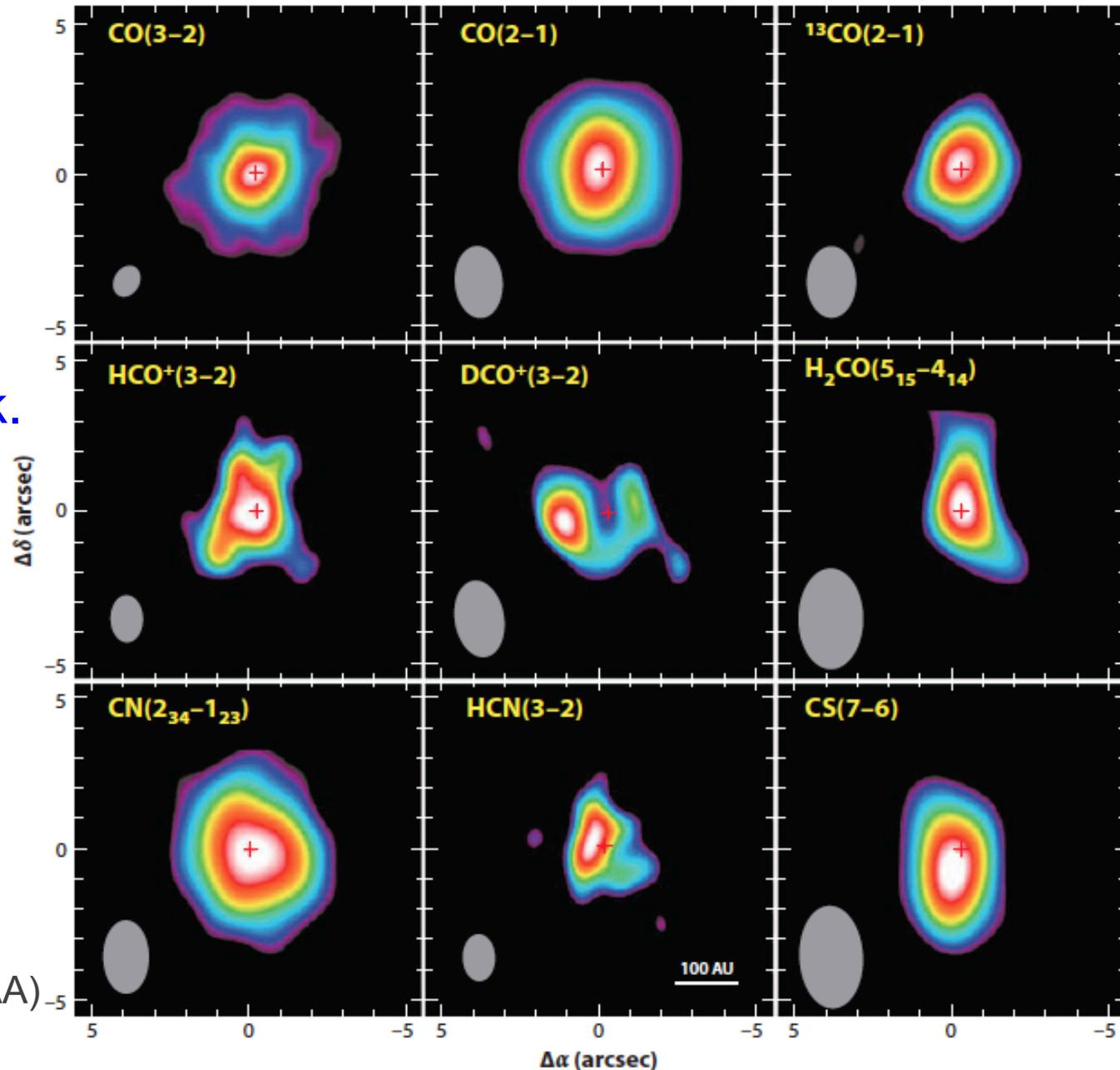
(Carr & Najita, 2008, Sci)



Molecule	$T$ (K)	$N$ ( $10^{16} \text{ cm}^{-2}$ )	$R^*$ (AU)	Abundance to CO
H <sub>2</sub> O	$575 \pm 50$	$65 \pm 24$	$2.1 \pm 0.1$	1.3
OH	$525 \pm 50$	$8.1 \pm 5.2$	$2.2 \pm 0.1$	0.18
HCN	$650 \pm 100$	$6.5 \pm 3.3$	$0.60 \pm 0.05$	0.13
C <sub>2</sub> H <sub>2</sub>	$650 \pm 150$	$0.81 \pm 0.32$	0.60†	0.016
CO <sub>2</sub>	$350 \pm 100$	0.2 – 13	$1.2 \pm 0.2$	0.004 – 0.26
CO	$900 \pm 100$	$49 \pm 16$	$0.7 \pm 0.1$	1.0

# Gas content in sub-mm

- Molecular rotational lines can easily be thermally excited (many are in mm): powerful gas probes of the cold outer disk.
- To probe the disk interior, to use isotopologs, or ionized species.

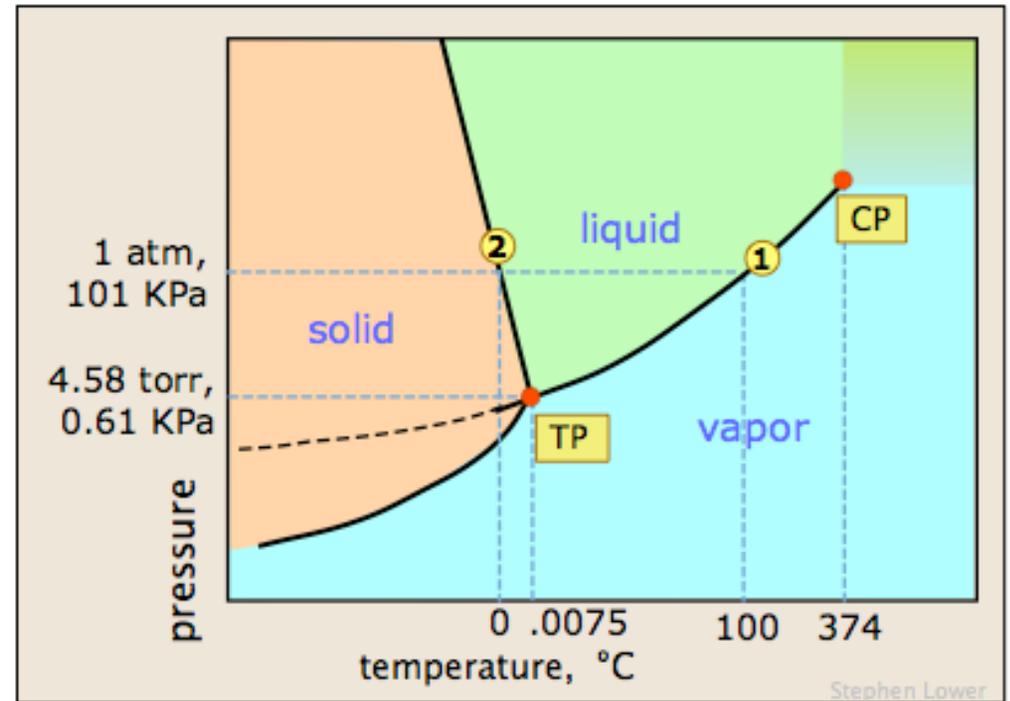


Williams & Cieza (2011, AR&AA)

# Snowline

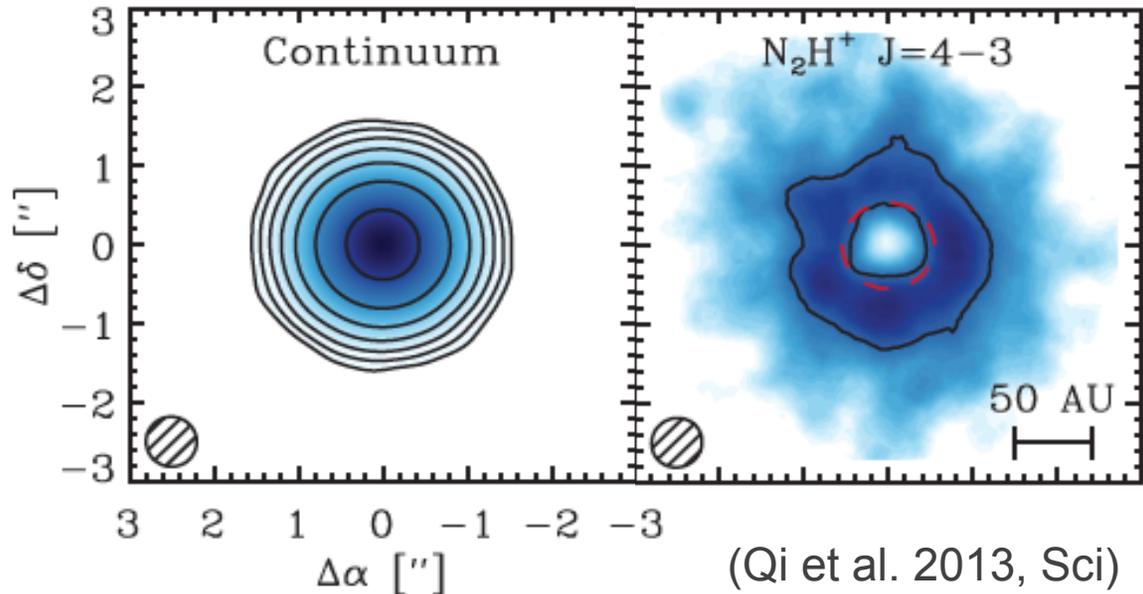
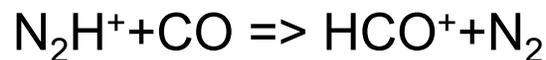
Snowline: water freezes out to ice at ~150-170K in PPDs (P~10<sup>-6</sup> bar).

Evidence of snowline at ~2.7 AU during solar system formation.



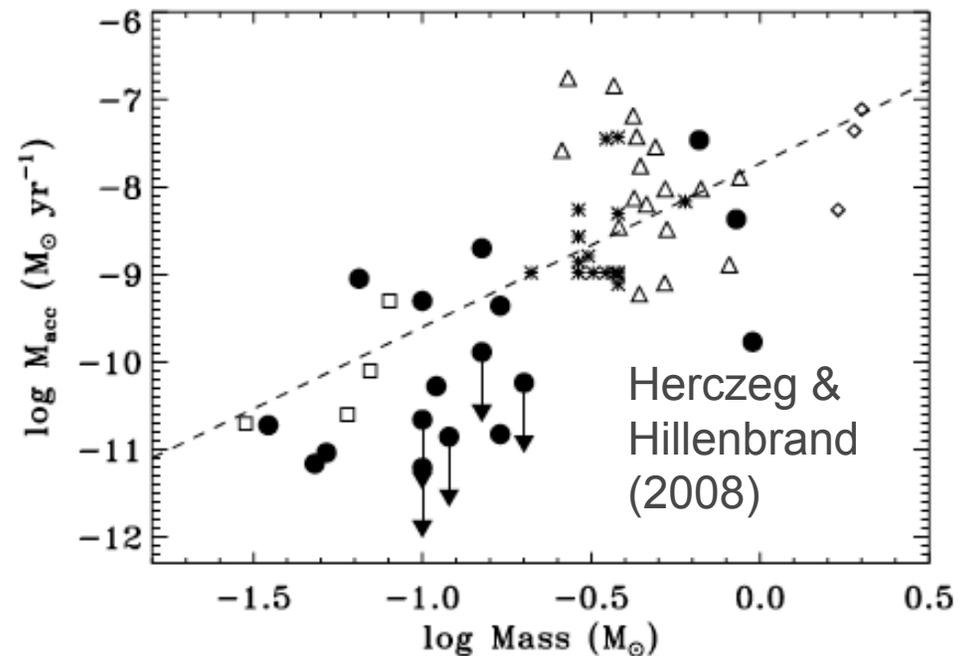
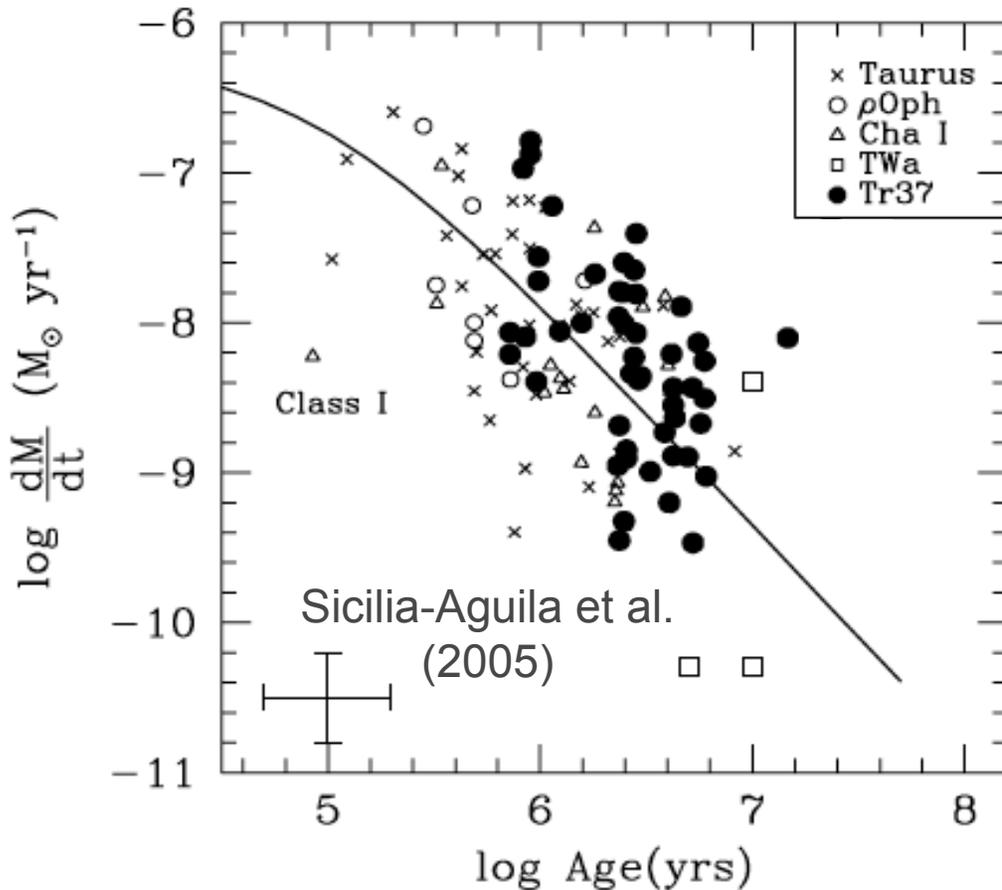
Detection of H<sub>2</sub>O snowline in other disks has not been achieved, but detection of CO snowline (~17K) has been reported (by ALMA):

N<sub>2</sub>H<sup>+</sup>: can easily be destroyed by CO.



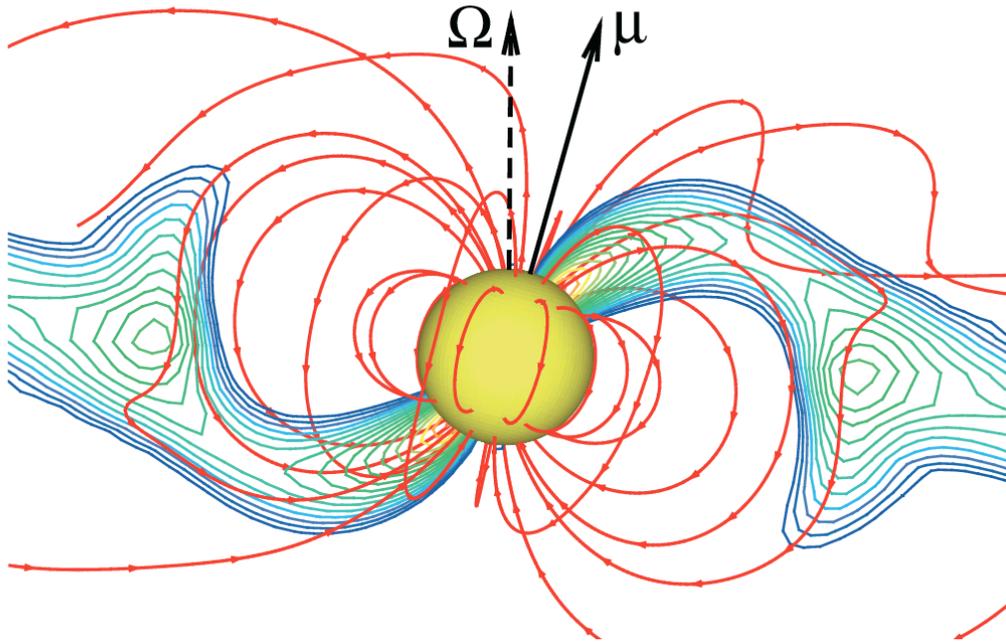
(Qi et al. 2013, Sci)

# Accretion rates



- Typical accretion rate  $\sim 10^{-8} M_{\odot} \text{ yr}^{-1}$  with large scatter.
- Age dependence, dependence on protostellar mass.

# Magnetospheric accretion



Romanova et al. 2004

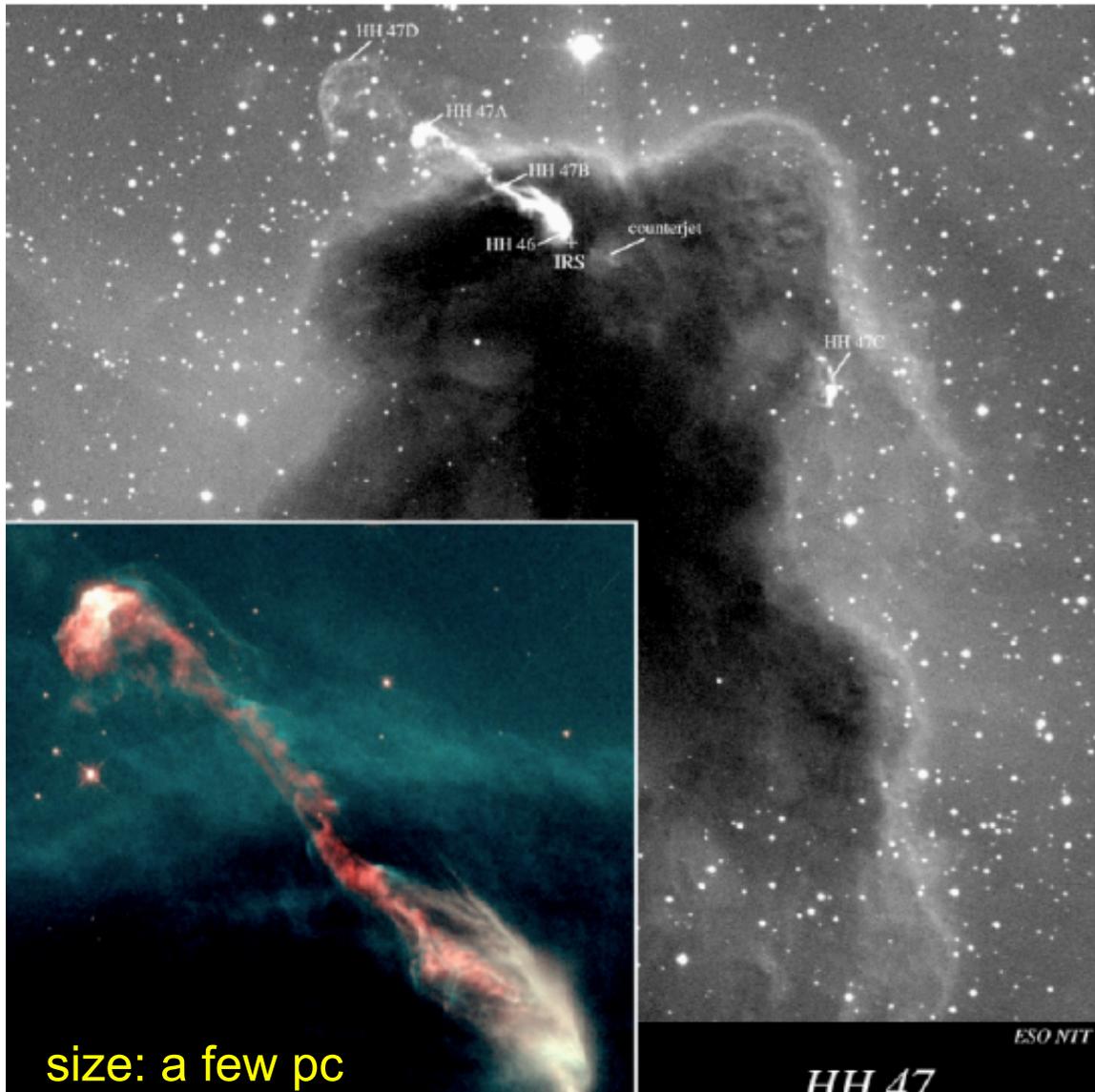
The disk is truncated at  $\sim 5R_*$ , entering the stellar magnetosphere, material flows along stellar magnetic field to stellar surface.

Release of gravitational energy  $\Rightarrow$  heat and radiation:

$$L_{\text{acc}} \approx 0.8 \frac{GM\dot{M}}{R_*}$$

- Accretion shock  $\rightarrow$  excess UV radiation + line emission on top of stellar photospheric emission. (e.g., Calvet & Gullbring, 1998)
- Accretion luminosity correlates with various line diagnostics,  $H\alpha$  or other emission lines (e.g., Muzerolle, Herczeg, Mohanti)

# Jets and outflows

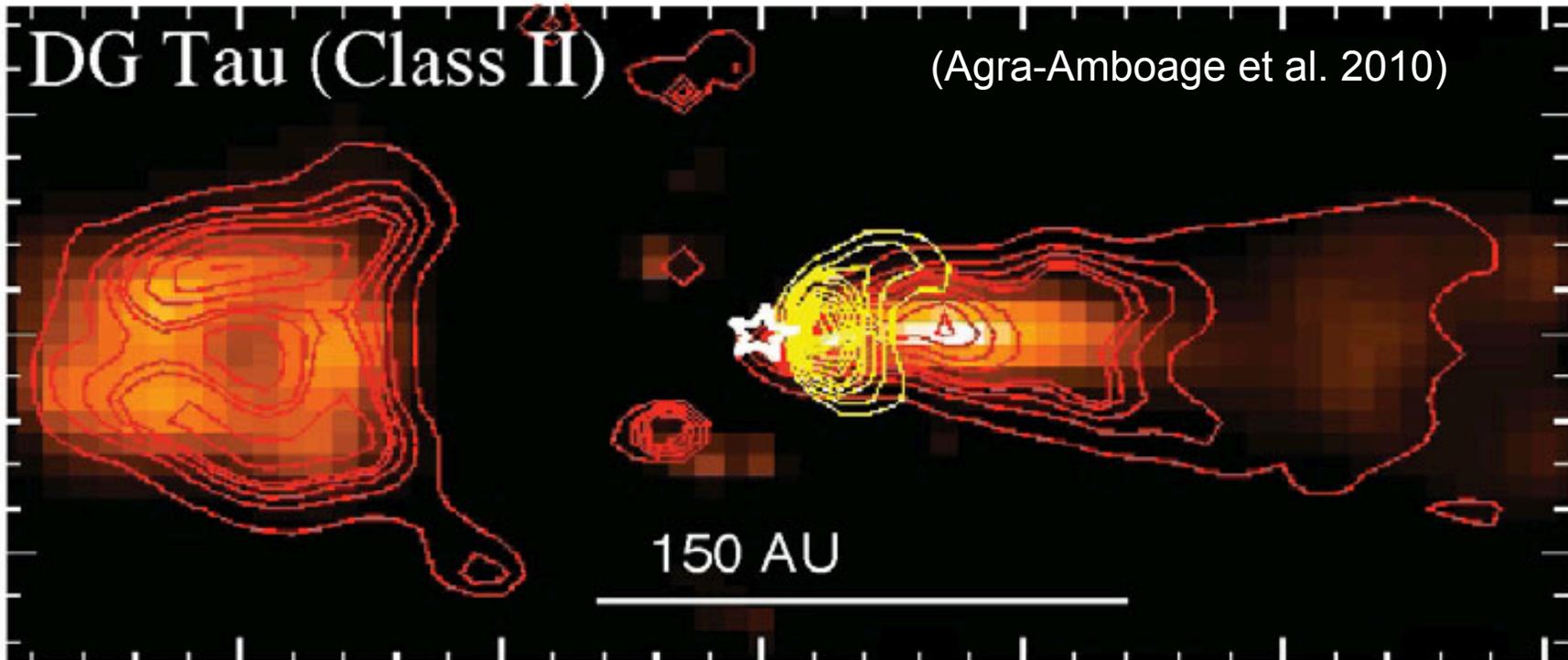


Herbig-Haro objects:

Nebular resulting from YSO jets traveling into their parent cloud/ISM.

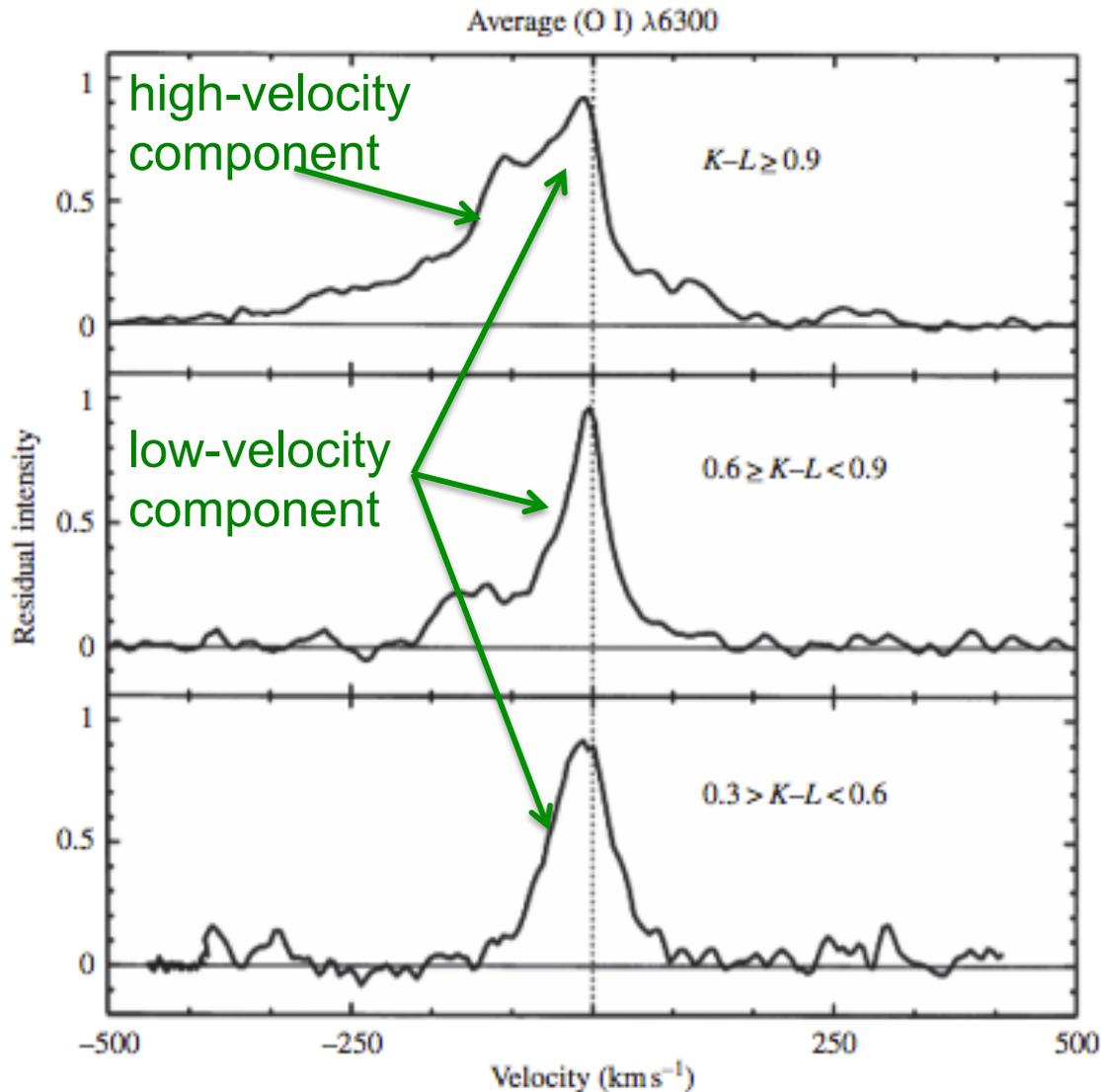
What's the central engine?

# Jets and outflows



- Highly collimated high-velocity component + wide-angle low-velocity component (LVC).
- The LVC is very likely to originate from the inner disk (0.3-4AU for DG Tau, Bacciotti et al.2002, Anderson et al.2003)

# Wind signature from forbidden lines

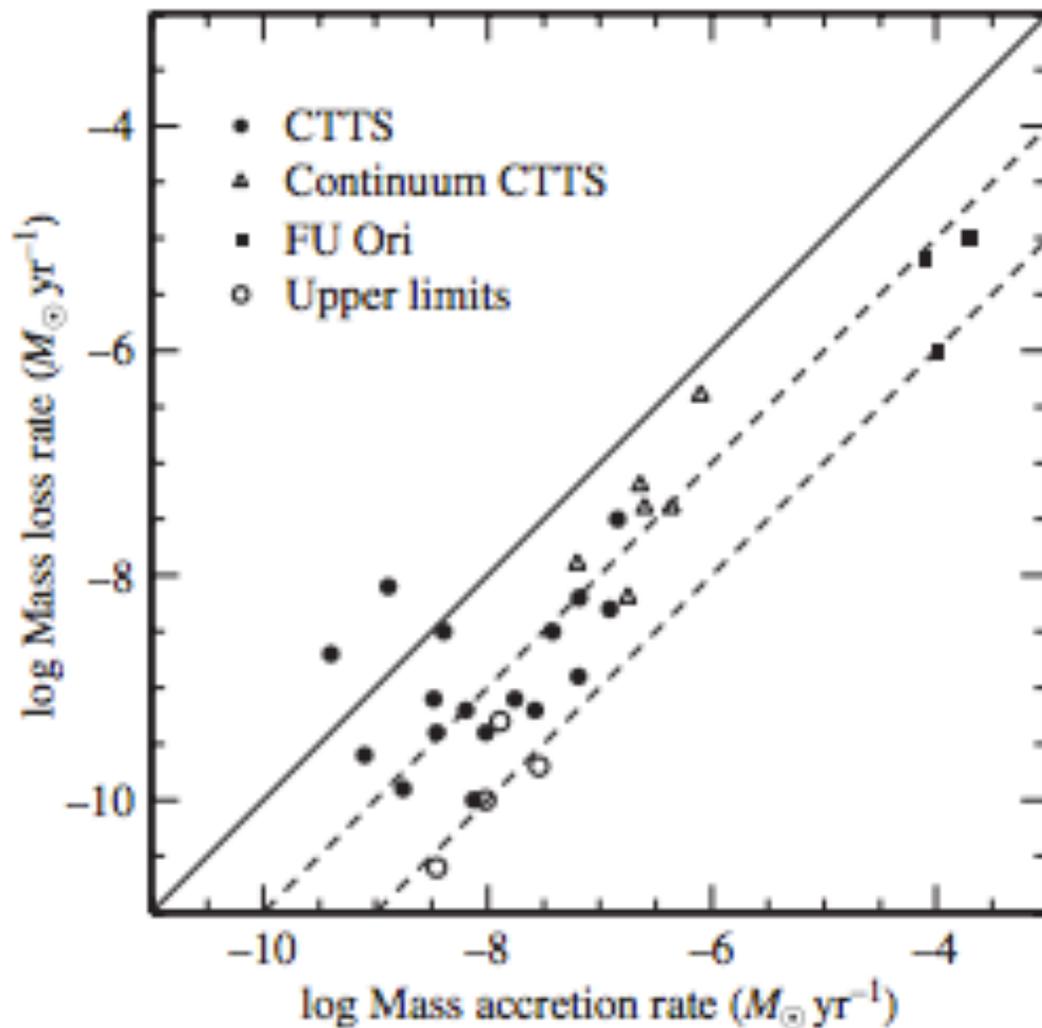


Large IR excess, more actively accreting disks

Little IR excess, less active disks

(Hartigan et al. 1995, adopted from L. Hartmann's book)

# Association of accretion with wind

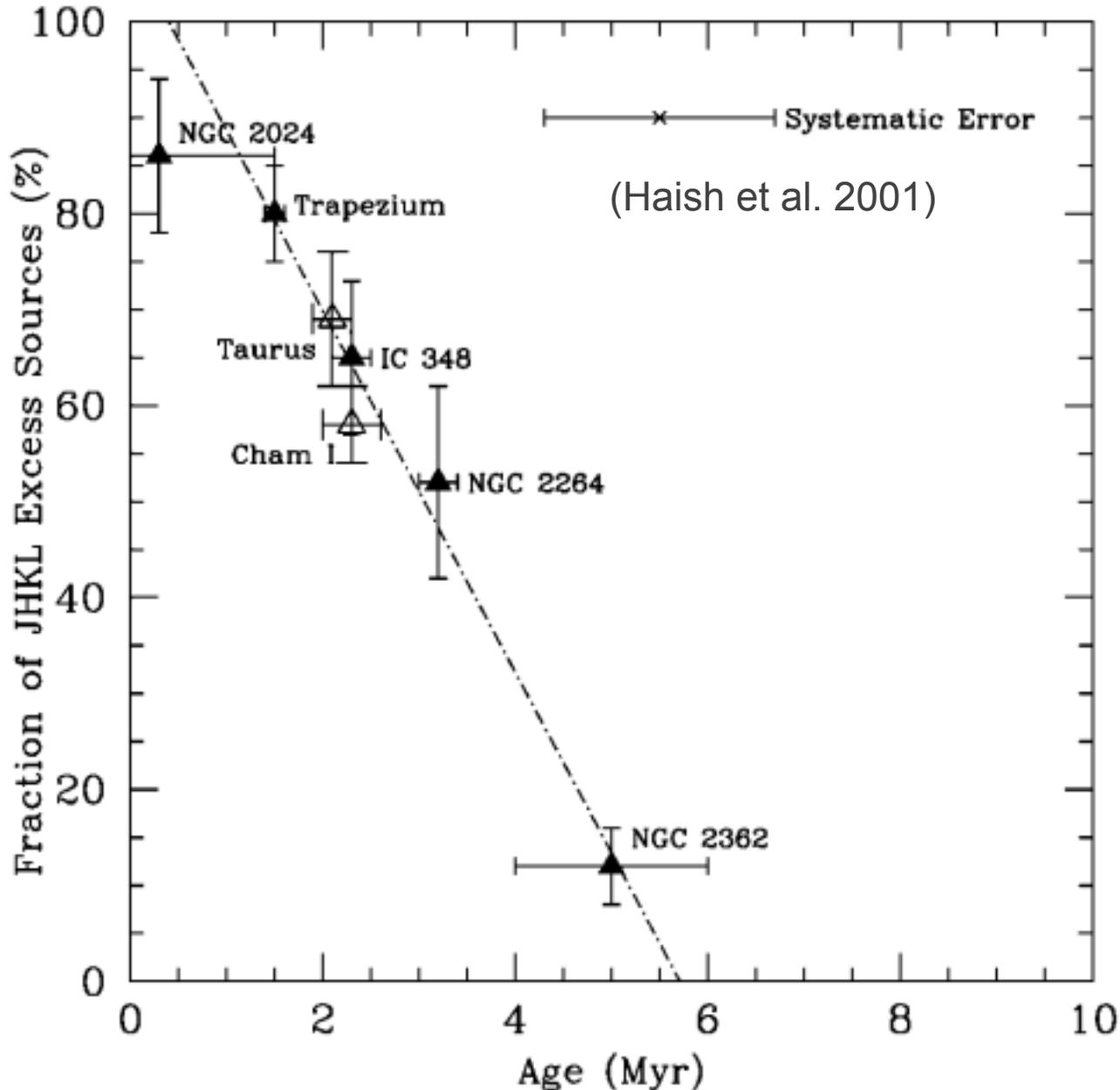


- Outflow is intimately connected to accretion.

$$\dot{M}_{\text{out}} \sim 0.1 \dot{M}_{\text{acc}}$$

(Hartigan et al. 1995, adopted from L. Hartmann's book)

# Disk lifetime



Median lifetime:  
~3 Myr

Some old systems still possess massive disks (e.g., TW Hydra).

Environmental effects (photoevaporation from nearby OB stars).

# Disk dispersal timescale

Observational definition:

<20%

$$\tau_{\text{disp}} \sim \frac{\text{\# of "transition" objects}}{\text{Total \# of pre-main-sequence stars}} \times \text{mean age}$$

What are “transition” objects?

(aka., transition disks)

Objects with NO excess in NIR/MIR but have excess in MIR/FIR.

Wolk & Walter (1996):  $\tau_{\text{disp}} < 10^6 \text{ yr}$

Weak line T Tauri star (WTTS) with disks

Cieza et al. (2007):  $\tau_{\text{disp}} \sim 0.4 \times 10^6 \text{ yr}$

Two-timescale problem:

PPDs survive for several Myr but disappear in much shorter timescale.

# Photoevaporation of disks

Energetic photons ionize and strongly heat the surface layer of the disk. If the temperature of the gas in the surface exceeds the escape velocity, then the disk evaporates:

$$r > r_g \sim \frac{GM_*}{c_s^2}$$

Heating mechanisms:

**extreme-UV (EUV)**

$$13.6 \text{ eV} < h\nu < 0.1 \text{ keV}$$

Indirect constraint  $\sim 10^{41-44}$  photon/s

(Alexander et al. 2005)

**X-ray**

$$h\nu > 0.1 \text{ keV}$$

Dominated by thermal X-ray of 1-5keV,

$L_X \sim 10^{28-32}$  ergs/s. (e.g., Preibisch+ 2005)

**far-UV (FUV)**

$$6 \text{ eV} < h\nu < 13.6 \text{ eV}$$

Dominated by line emission (e.g., Ly $\alpha$ ),

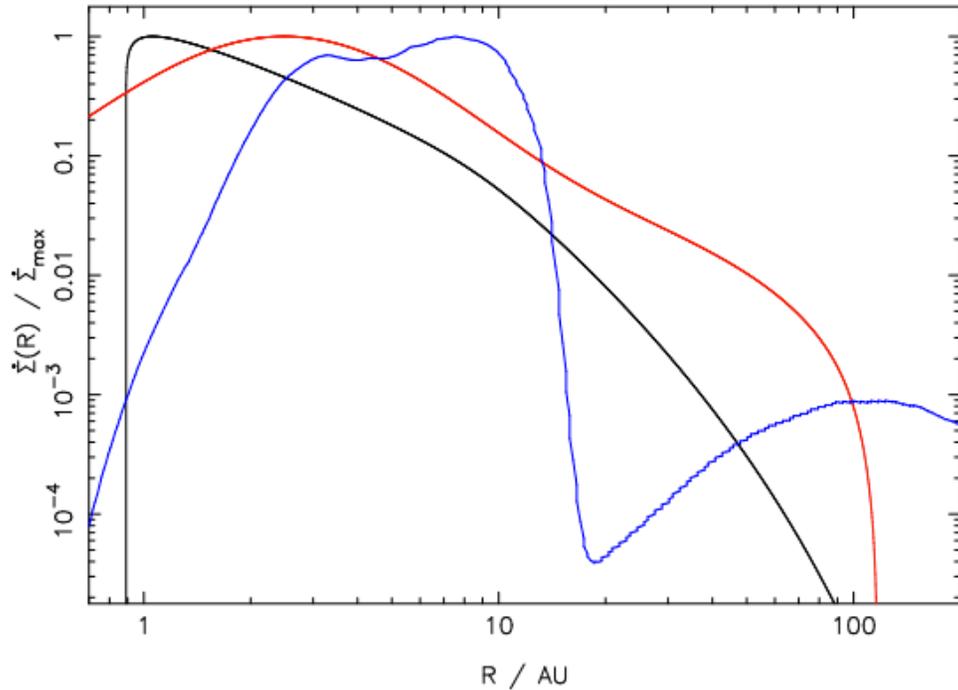
$L_{\text{FUV}} \sim 10^{30-32}$  ergs/s.

(Valenti+ 2000, 2003, Bergin+, 2003, Herczeg+ 2004)

Photoevaporation by nearby massive stars, if present, can be overwhelming.

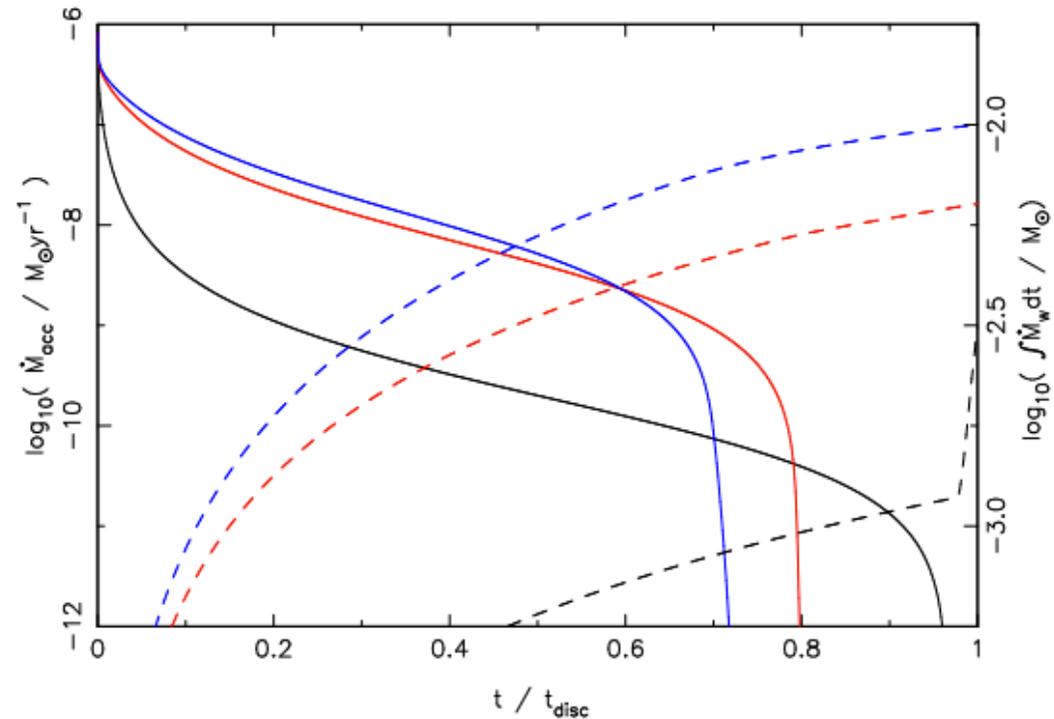
# Photoevaporation of disks

Normalized mass loss profiles



Black: EUV; Blue: FUV; Red: X-ray

Total mass loss rates

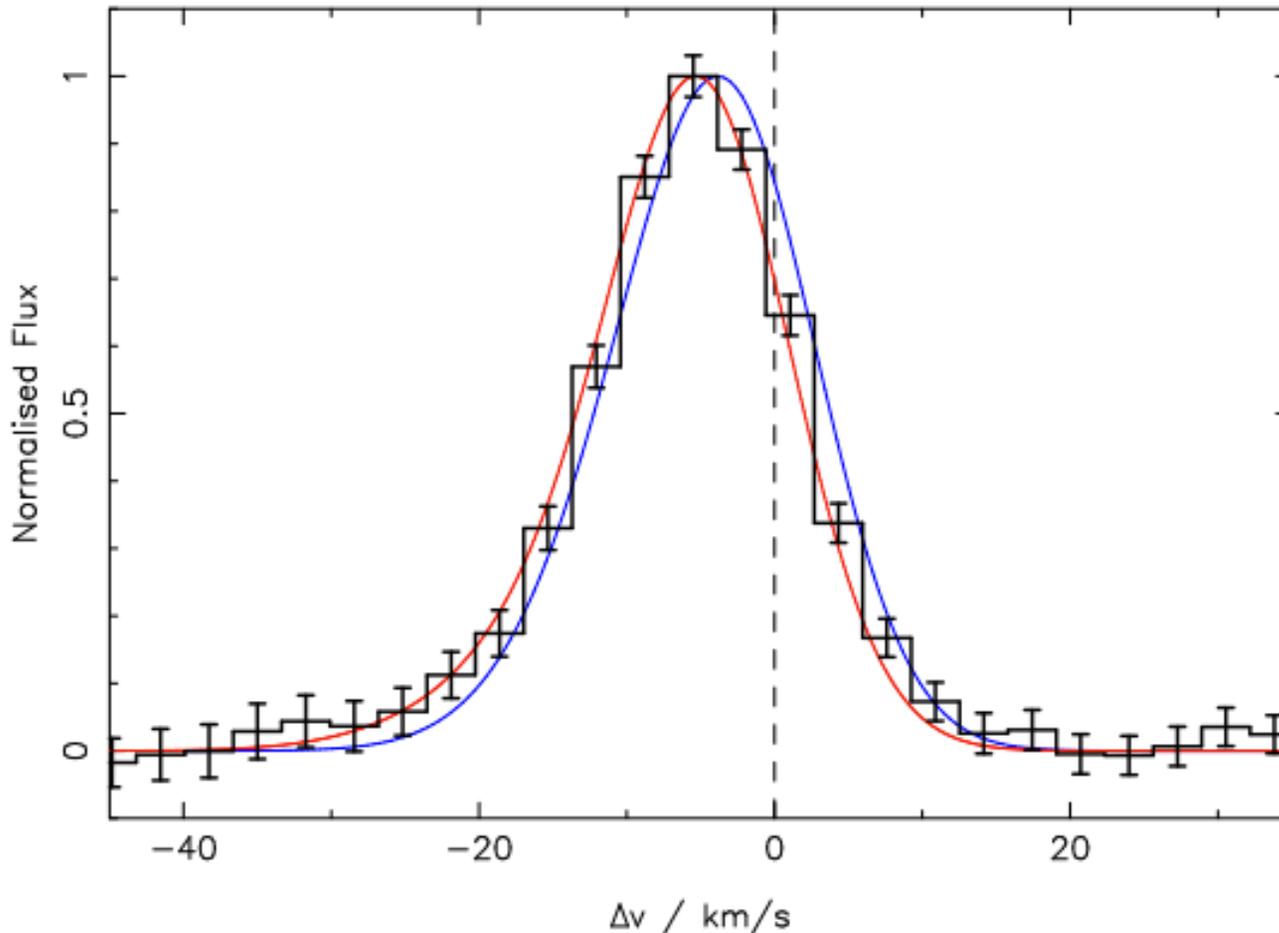


(Alexander et al, 2014, PPVI)

General picture: inside-out clearing.

When coupled with viscous evolution, can very quickly evaporate the disk once accretion rate drops below mass loss rate.

# Observational evidence



Low-velocity, ionized outflow emanating from the disk.

Similar also seen in other lines (e.g., OI 63μm, CO 4.7μm, Rigliaco+ 2013).

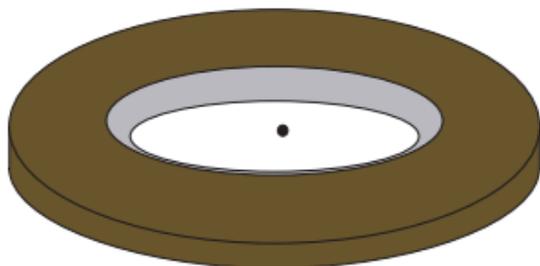
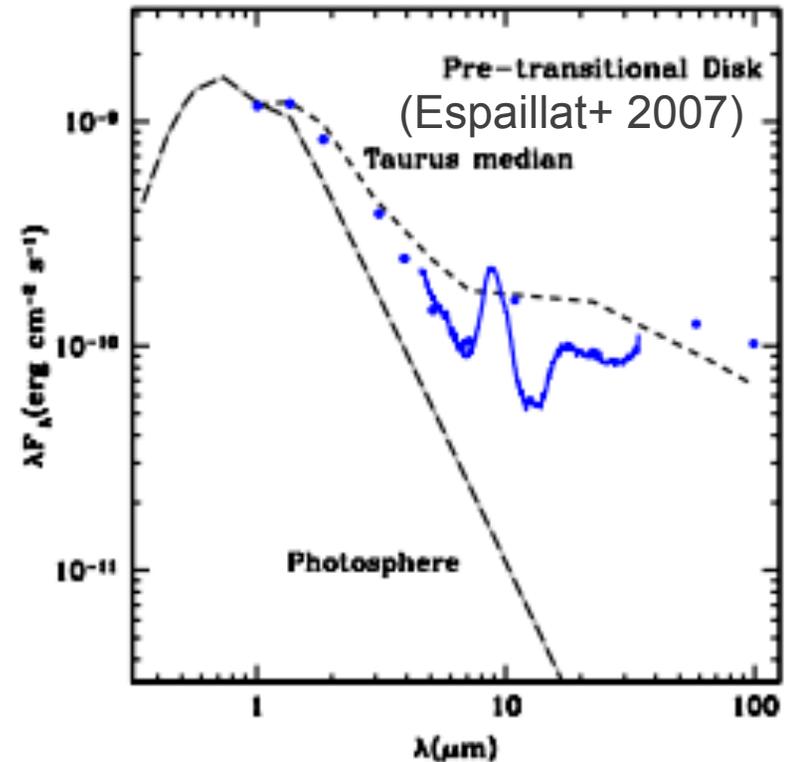
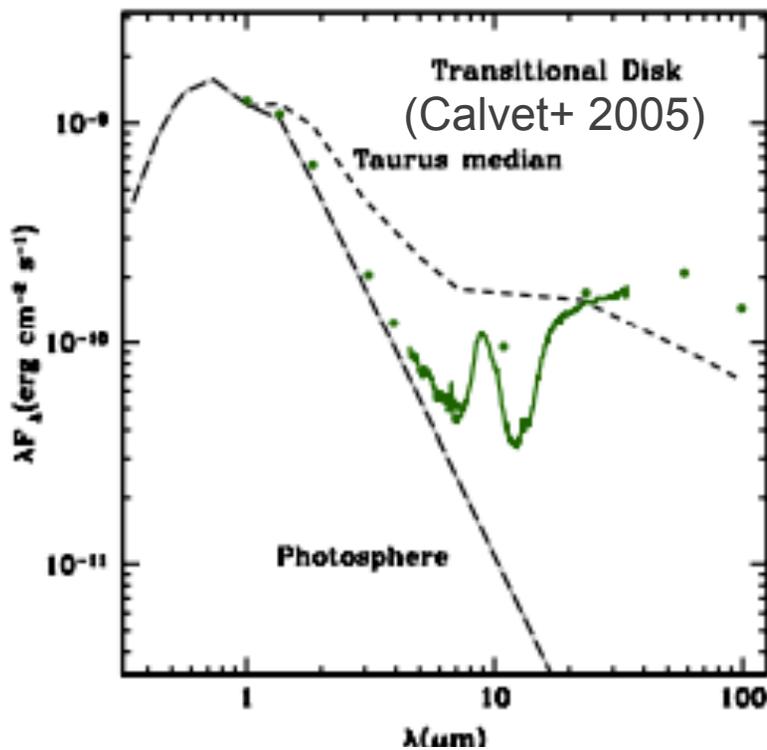
Ne II (12.81μm) line profile from TW Hya (Pascucci+ 2011)

red/blue: normalized flux based on EUV/X-ray photoevaporation models.

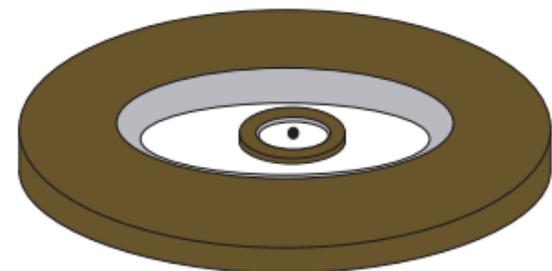
(Alexander 2008; Ercolano & Owen, 2010)

# Transition disks

Deficit of NIR and/or MIR flux with respect to the median SED of CTS (Strom et al. 1989)



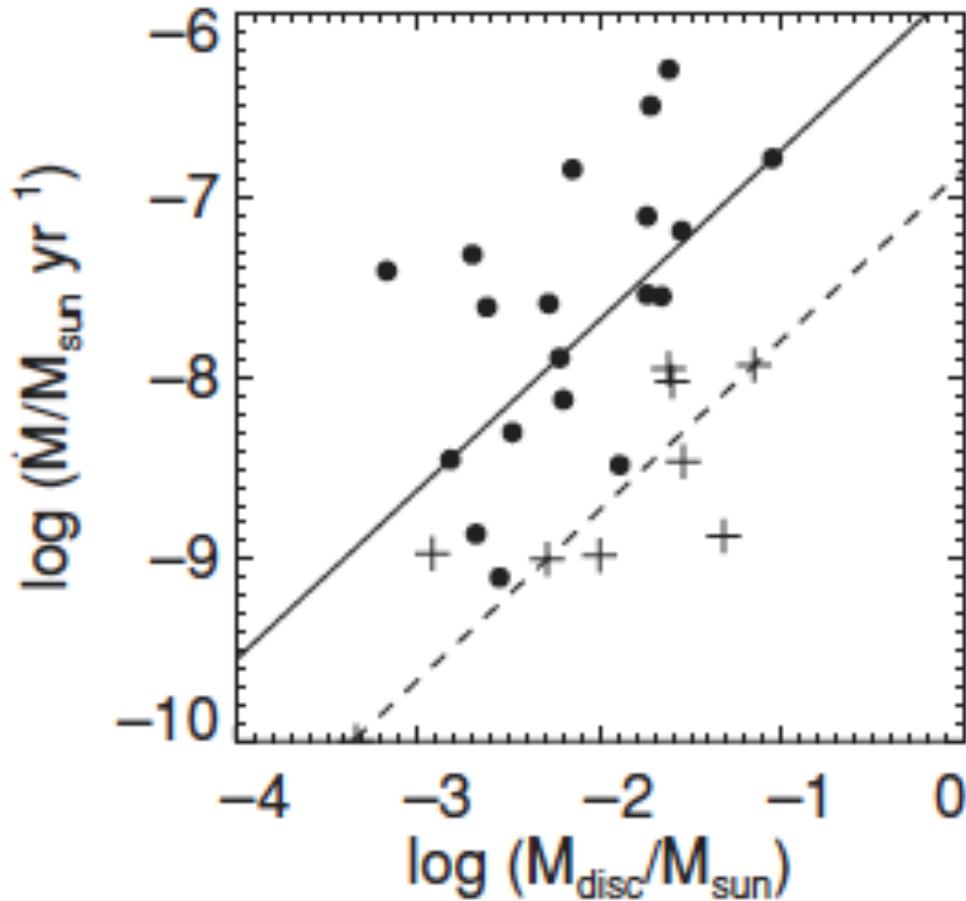
Transitional Disk



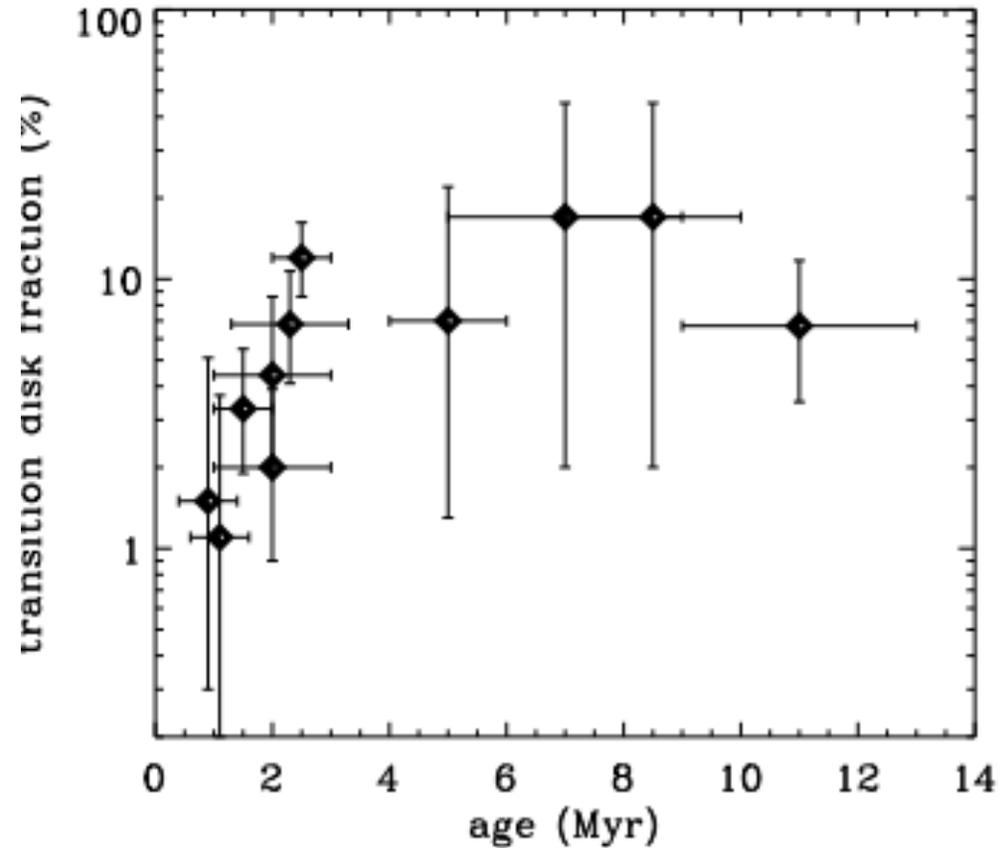
Pre-Transitional Disk

Espaillat+ 2014, PPVI

# Demographics



(Najita et al. 2007)



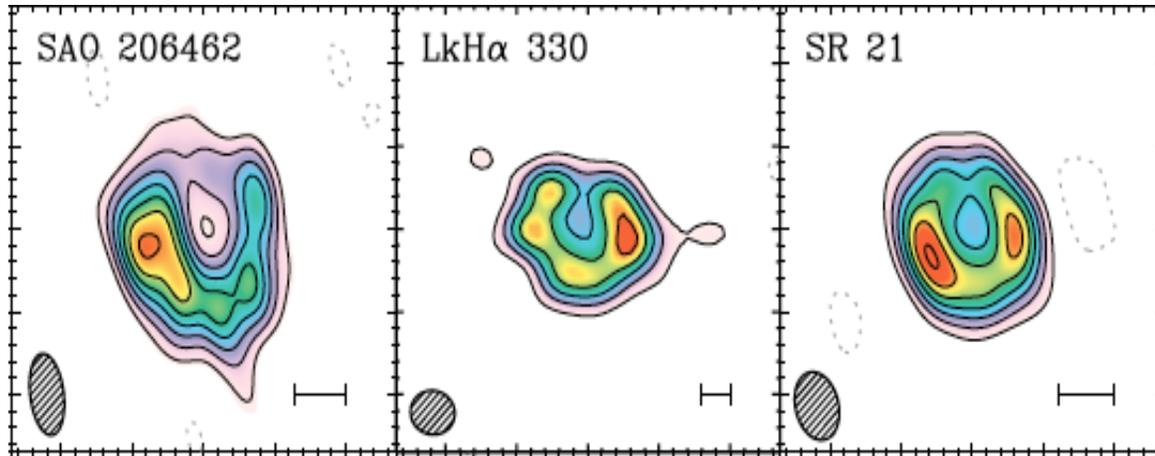
(Espaillat et al. 2014, PPVI)

Transition disks are still actively accreting!

Transition disks constitute of ~10-20% of the disk population.

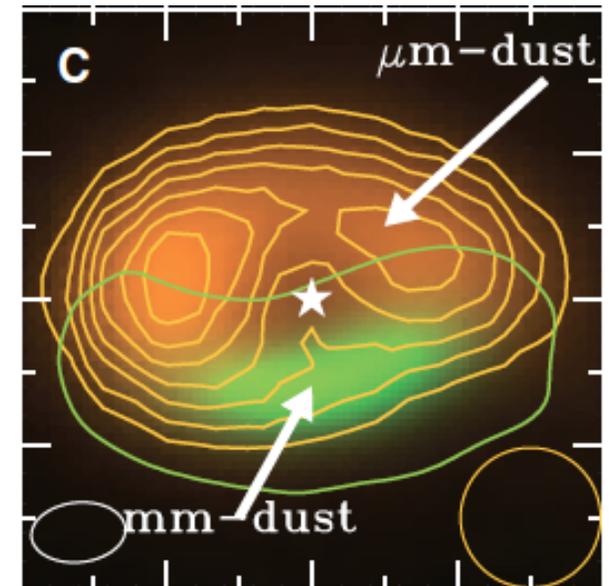
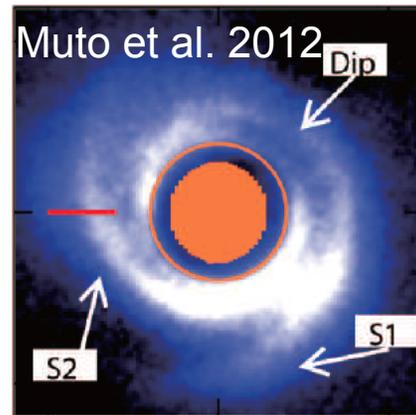
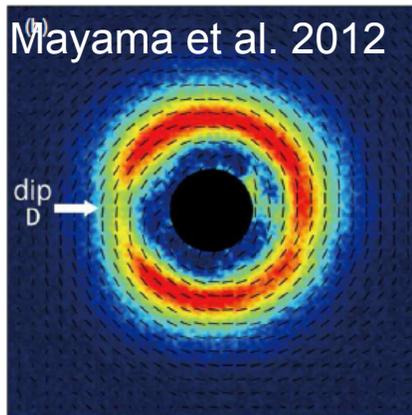
# Resolved images and asymmetries

## Sub-mm, 880 $\mu$ m continuum imaging



(Andrews et al. 2011)

## H-band PDI from the SEEDS project



van der Marel et al. 2013

Dust of different sizes behave very differently!

# Mechanisms and puzzles

## ■ Non-dynamical mechanisms:

- Viscous evolution: self-similar evolution, hard to form holes.
- Grain growth and transport: (e.g., Birnstil+ 2012)  
Hard to simultaneously explain  $\mu\text{m}$  and mm cavities.
- Photoevaporation: (e.g., Alexander & Armitage 2009, Owen+ 2011)  
Works for some cases but not all, especially those with large accretion rates.

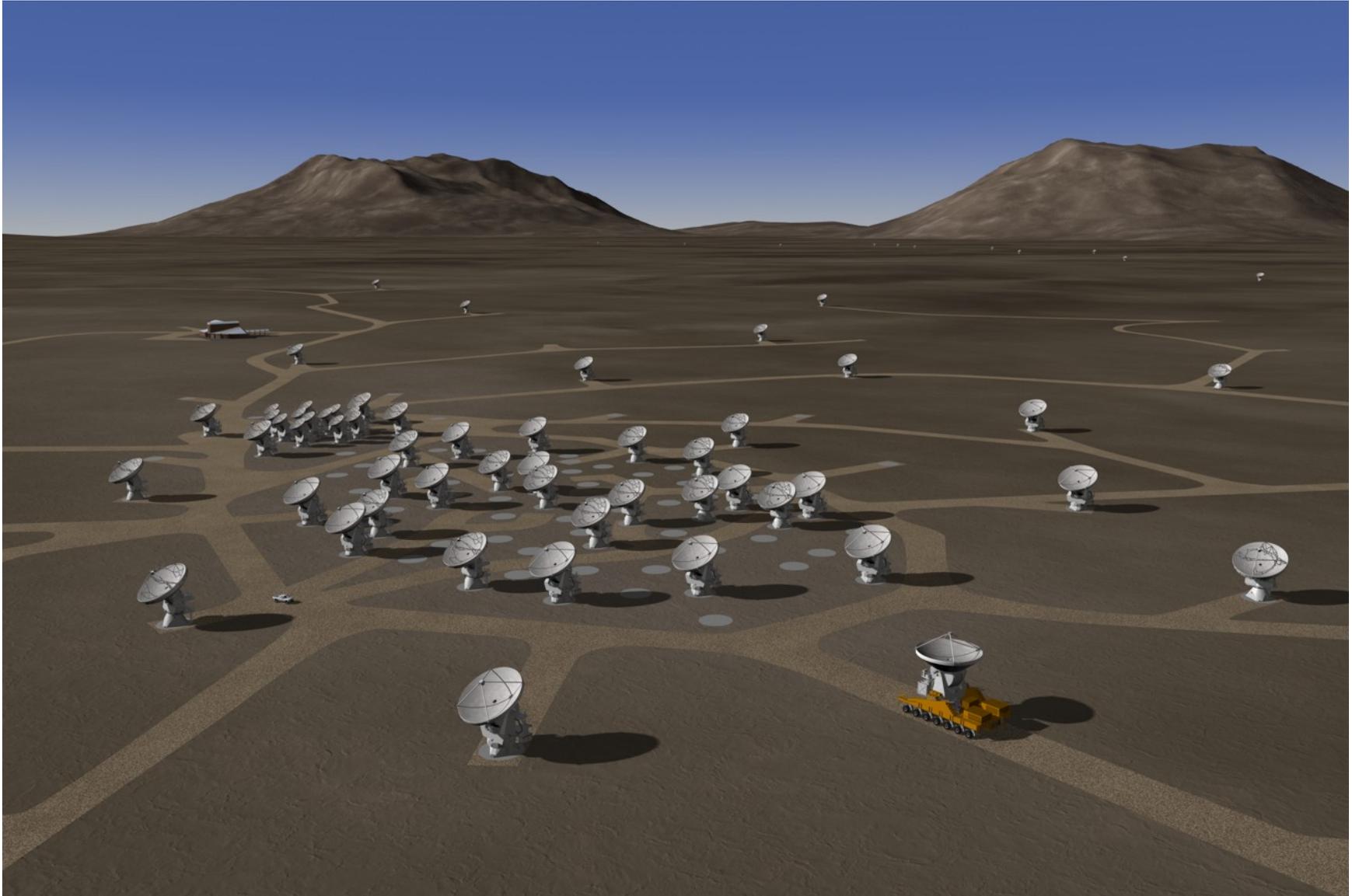
## ■ Dynamical mechanisms:

- Multiple planets: (e.g., Zhu+ 2011, Dodson-Robinson & Salyk 2011)  
Still need substantial dust depletion to make the inner disk optically thin.
- Single planet + dust filtration: (e.g., Rice+ 2006, Zhu+ 2012)  
Large grains “filtered” through disk gaps, small grains penetrate.

# Summary on protoplanetary disks

- PPDs form early (~class 0) during star formation.
- From class II phase, total mass  $\sim 0.01$  stellar mass and size  $\sim 100$  AU with surface density profile  $\sim r^{-1}$ .
- Disks are flared, with excess emission from IR to mm from dust.
- Mean lifetime  $\sim 3$  Myr, and followed by rapid dispersal presumably due to photoevaporation.
- Accretion onto the protostar at the rate of  $\sim 10^{-8} M_{\odot}/\text{yr}$ , which strongly correlates with jet/outflow.
- Transition disks constitute  $\sim 10$ - $20\%$  of disk population, may represent late evolution stage, or due to planet formation.
- Broadband SEDs as well as IR-mm spectrum of gas species are major disk probes, which can be substantially boosted from mm and IR interferometry (e.g., ALMA).

# Atacama Large Millimeter/sub-mm Array



Location: Chajnantor plain, Chile (altitude ~5000m)